

Pecyn Dogfennau



Mark James LLM, DPA, DCA
Prif Weithredwr,
Chief Executive,
Neuadd y Sir, Caerfyrddin. SA31 1JP
County Hall, Carmarthen. SA31 1JP

DYDD MAWRTH, 15 IONAWR 2019

AT: HOLL AELODAU'R Y BWRDD GWEITHREDOL

YR WYF DRWY HYN YN EICH GALW I FYNYCHU CYFARFOD O'R Y
**BWRDD GWEITHREDOL A GYNHELIR YN SIAMBR, NEUADD Y SIR,
CAERFYRDDIN, AM 10.00 AM, DYDD LLUN, 21AIN IONAWR, 2019** ER
MWYN CYFLAWNI'R MATERION A AMLINELLIR AR YR AGENDA SYDD
YNGHLWM

Mark James DYB

PRIF WEITHREDWR



AILGYLCHWCH OS GWELWCH YN DDA

Swyddog Democrataidd:	Martin S. Davies
Ffôn (Ilinell uniongyrchol):	01267 224059
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Cyf:	AD016-001

Y BWRDD GWEITHREDOL

AELODAETH - 10 AELOD

Cynghorydd	Portffolio
Cynghorydd Emlyn Dole	Arweinydd Arweinyddiaeth a Strategaeth Gorfforaethol; Cadeirydd y Bwrdd Gweithredol; Cynrychioli'r Cyngor ar CLILC; Datblygu Economaidd; Yn cynrychioli'r Cyngor ar Ranbarth Dinas Bae Abertawe; Cydweithio; Marchnata a'r Cyfryngau; Penodi Aelodau o'r Bwrdd Gweithredol; Penderfynu ar bortffolios ABG; Cyswllt â'r Prif Weithredwr; Bwrdd Gwasanaethau Cyhoeddus
Cynghorydd Mair Stephens	Dirprwy Arweinydd Rheolwr Busnes y Cyngor; Adnoddau Dynol; Rheoli Perfformiad; Archwilio Cymru; Hyfforddiant; T.G.Ch; T.I.C. Cynllunio strategol
Cynghorydd Glynog Davies	Addysg a Phlant Ysgolion; Gwasanaethau Plant; Anghenion Addysgol Arbennig; Diogelu; Cartrefi Seibiant; Gwasanaeth Gwella Ysgolion Integredig Rhanbarthol; Dysgu Oedolion yn y Gymuned; Gwasanaethau Ieuenctid; Gwasanaethau Arlwyio Ysgolion, Aelod Arweiniol dros Blant a Phobl Ifanc; Llysgennad Ieuenctid
Cynghorydd Cefin Campbell	Cymunedau a Materion Gwledig Materion Gwledig ac Ymgysylltu â'r Gymuned; Diogelwch Cymunedol; Yr Heddlu; Deddf Gwrthderfysgaeth a Diogelwch 2015; Trechu Tlodi; Llesiant Cenedlaethau'r Dyfodol; Cyswllt y Trydydd Sector; Cydraddoldeb
Cynghorydd Hazel Evans	Amgylchedd Sbwriel; Clanhau Strydoedd; Gwasanaethau Priffyrdd aThrafnidiaeth; Cynnal a Chadw Tiroedd; Gwasanaethau Adeiladu; Gofalu; Clanhau Adeiladau; Cynlluniau Argyfwng; Llifogydd
Cynghorydd Linda Evans	Tai Tai - Cyhoeddus; Tai - Preifat; Heneiddio'n dda
Cynghorydd Peter Hughes Griffiths	Diwylliant, Chwaraeon a Thwristiaeth Llysgennad Cynghorau Tref a Chymuned; Datblygu'r Iaith Gymraeg; Theatrau; Chwaraeon; Canolfannau Hamdden; Amgueddfeydd; Llyfrgelloedd; Parciau Gwledig; Twristiaeth.
Cynghorydd Philip Hughes	Diogelu'r Cyhoedd Safonau Masnach; Iechyd yr Amgylchedd. Gorfodaeth Amgylcheddol; Gorfodaeth Cynllunio; Gwastraff Didrwydded; Gwasanaethau Parcio; Bio amrywiaeth
Cynghorydd David Jenkins	Adnoddau Cyllid a'r Gyllideb; Effeithlonrwydd Corfforaethol; Rheoli Eiddo / Asedau; Caffael; Budd-daliadau Tai; Refeniw; Gwasanaethau Statudol (Crwneriaid, Cofrestryddion, Etholiadol, Arglwydd Rhaglaw); Hyrwyddwr y Lluedd Arfog; Canolfannau Cyswllt a Chanolfannau Gwasanaethau Cwsmeriaid
Cynghorydd Jane Tremlett	Gofal Cymdeithasol ac Iechyd Gwasanaethau Cymdeithasol i Oedolion; Gofal Preswyl; Gofal Cartref; Anableddau Dysgu; Iechyd Meddwl; Cyswllt / Cydweithio / Integreiddio â'r GIG; Gwasanaethau Arlwyio Cartefi Gofal, Pencampwr Gofalwyr; Llysgennad Anabledd; Pencampwr Gofal Dementia

AGENDA

1. YMDDIHEURIADAU AM ABSENOLDEB.
2. DATGAN BUDDIANNAU PERSONOL.
3. LLOFNODI FEL COFNOD CYWIR COFNODION CYFARFOD Y BWRDD GWEITHREDOL A GYNHALWYD AR Y 17EG RHAGFYR 2018. 5 - 10
4. CWESTIYNAU Â RHYBYDD GAN AELODAU.
5. CWESTIYNAU A RHYBYDD GAN Y CYHOEDD.
6. GWASANAETHAU CYMDEITHASOL A DEDDF (CYMRU) LLES 2014 - POLISI A DIWYGIADAU GWEITHDREFN I GODI TÂL AM WASANAETHAU I OEDOLION. 11 - 34
7. ADRODDIAD MONITRO CYLLIDEB REFENIW Y CYNGOR. 35 - 58
8. DIWEDDARU RHAGLEN GYFALAF 2018-19. 59 - 64
9. UNRHYW FATER ARALL Y GALL Y CADEIRYDD OHERWYDD AMGYLCHIADAU ARBENNIG BENDERFYNU EI YSTYRIED YN FATER BRYN YN UNOL AG ADRAN 100B(4)(B) O DDEDDF LLYWODRAETH LEOL, 1972.
10. GORCHYMYN I'R CYHOEDD ADAEL Y CYFARFOD.
NI DDYLID CYHOEDDI'R ADRODDIAD SY'N YMWNEUD Â'R MATER CANLYNOL GAN EI FOD YN CYNNWYS GWYBODAETH EITHRIEDIG FEL Y'I DIFFINIWYD YM MHARAGRAFF 14 O RAN 4 O ATODLEN 12A I DDEDDF LLYWODRAETH LEOL 1972 FEL Y'I DIWYGIWYD GAN ORCHYMYN LLYWODRAETH LEOL (MYNEDIAD AT WYBODAETH) (AMRYWIO) (CYMRU) 2007. OS BYDD Y BWRDD, AR ÔL CYNNAL PRAWF LLES Y CYHOEDD YN PENDERFYNU YN UNOL Â'R DDEDDF, I YSTYRIED Y MATER HYN YN BREIFAT, GORCHMYNNIR I'R CYHOEDD ADAEL Y CYFARFOD YN YSTOD TRAFODAETH O'R FATH.
11. CYMORTH ARIANNOL I YMDDIRIEDOLAETH ADFYWIO TREFTADAETH SIR GAR/ BLAS LLANELLY. 65 - 70

DS: Mae adroddiadau yn cael eu hargraffu mewn du a gwyn yn unig er mwyn arbed costau. Fodd bynnag mae pob adroddiad ar gael ar-lein fel y gall aelodau o'r Pwyllgor / Cyngor Sir a'r cyhoedd weld lluniau/graffiau mewn lliw.

Dydd Llun, 17 Rhagfyr 2018

YN BRESENNOL: Y Cynghorydd E. Dole (Cadeirydd)

Y Cynghorwyr:

C.A. Campbell, G. Davies, E. Dole, H.A.L. Evans, L.D. Evans, P.M. Hughes, P. Hughes-Griffiths, D.M. Jenkins, L.M. Stephens and J. Tremlett

Hefyd yn bresennol:

Y Cynghorwyr: D.M. Cundy and E.G. Thomas

Yr oedd y swyddogion canlynol yn gwasanaethu yn y cyfarfod:

M. James, Prif Weithredwr

J. Morgan, Cyfarwyddwr y Gwasanaethau Cymunedau

C. Moore, Cyfarwyddwr Gwasanaethau Corfforaethol

Mrs R. Mullen, Cyfarwyddwr yr Amgylchedd

W. Walters, Cyfarwyddwr Adfywio a Pholisi

L.R. Jones, Pennaeth Gweinyddiaeth a'r Gyfraith

S. Davies, Pennaeth Mynediad i Addysg

J. Morgan, Pennaeth Cartrefi a Chymunedau Mwy Diogel Dros Dro

L Morris, Senior Press Officer

K. Thomas, Swyddog Gwasanaethau Democrataidd

L. Jenkins, Swyddog Gwasanaethau Democrataidd

Siambr, Neuadd y Sir - 10.00 - 10.45 am

1. YMDDIHEURIADAU AM ABSENOLDEB

Ni chafwyd ymddiheuriadau am absenoldeb.

Cyfeiriodd y Cadeirydd at benodiadau Mark Drakeford AC fel Prif Weinidog Cymru a Julie James AC fel y Gweinidog Tai a Llywodraeth Leol. Gofynnodd a fyddai'r Bwrdd Gweithredol yn awdurdodi iddo ysgrifennu ar ran yr Awdurdod i'w llongyfarch ar gael eu penodi.

PENDERFYNWYD YN UNFRYDOL y bydd Arweinydd y Cyngor yn ysgrifennu at Mark Drakeford AC a Julie James AC i'w llongyfarch ar eu penodiadau fel Prif Weinidog Cymru a'r Gweinidog Tai a Llywodraeth Leol yn y drefn honno.

2. DATGAN BUDDIANNAU PERSONOL.

Ni chafwyd dim datganiadau o fuddiant personol

3. LLOFNODI FEL COFNOD CYWIR COFNODION CYFARFOD Y BWRDD GWEITHREDOL A GYNHALWYD AR Y

3.1. 19EG TACHWEDD, 2018

PENDERFYNWYD YN UNFRYDOL lofnodi cofnodion cyfarfod y Bwrdd Gweithredol a gynhaliwyd ar 19 Tachwedd, 2018 yn gofnod cywir.

3.2. 3YDD RHAGFYR, 2018

PENDERFYNWYD YN UNFRYDOL lofnodi bod cofnodion cyfarfod y Bwrdd Gweithredol a gynhaliwyd ar 3 Rhagfyr 2018 yn gofnod cywir.

4. CWESTIYNAU Â RHYBYDD GAN AELODAU

4.1. CWESTIWN GAN Y CYNGHORYDD EDWARD THOMAS I'R CYNGHORYDD CEFIN CAMPBELL, AELOD Y BWRDD GWEITHREDOL DROS CYMUNEDAU A MATERION GWLEDIG

"Ar 30 Tachwedd, cyhoeddwyd y bydd Morris Travel yn tynnu'n ôl o nifer o lwybrau bysiau yng ngogledd y sir. Bydd hyn yn cael effaith ddifrifol ar drigolion oedrannus yn ein cymunedau gwledig. Pa gynlluniau sydd ar waith gan y sir i gynorthwyo yn hyn o beth?

Ymateb gan y Cynghorydd Cefin Campbell, sef yr Aelod o'r Bwrdd Gweithredol dros Gymunedau a Materion Gwledig:-

"Rwy'n rhannu eich pryderon oherwydd rwyf hefyd wedi cael nifer o alwadau ffôn gan drigolion fy ward yr effeithir arnynt gan y llwybrau a fydd yn cael eu tynnu'n ôl. Mae cymunedau gwledig yn wynebu'r anawsterau hyn gan nad yw'r llwybrau bysiau'r un mor broffidiol i'r cwmnïau bysiau mewn ardaloedd gwledig. Mae adain drafnidiaeth y Cyngor yn gofyn am dendrau ar hyn o bryd a bydd y ceisiadau tendr yn dod i law yr wythnos hon ac maent wedi bod yn marchnata hyn i gwmnïau bysiau eraill o ran darparu'r llwybrau hyn, felly cawn weld beth ddaw yr wythnos hon. Ond wrth gwrs, rydym yn ymwybodol o'r angen i ddarparu ar gyfer pobl sy'n byw mewn cymunedau gwledig ac mae llawer o bobl oedrannus, am wahanol resymau, yn dibynnu ar fysiau i'w cludo i'r siopau yn ogystal â'r meddyg teulu lleol a'r ysbytai lleol ac ati. Mae hefyd yn rhywbeth y mae'r Gweithgor Materion Gwledig wedi treulio llawer o amser yn ei ystyried yn ystod y deunaw mis diwethaf, ac rydym wedi ystyried cynlluniau amrywiol ar gyfer y dyfodol, gan ragweld y pwysau ar weithredwyr presennol. Mae'r cynllun Bwc a Bus yn llwyddiannus mewn rhai rhannau o'r sir, ac efallai y bydd yn bosibl i ymestyn y ddarpariaeth honno ar draws gogledd y Sir. Mae Ceir Cefn Gwlad ar waith ac mae hefyd grŵp cymunedol gwirfoddol o'r enw 'Dolen Teifi' sy'n cynnal cynllun gwirfoddol mewn rhai rhannau o orllewin Cymru. Felly, rydym yn ystyried y model hwn, a hyd yn oed modelau megis cwmni cydweithredol cymunedol i ddarparu gwasanaethau / gwasanaethau bysiau mewn ardaloedd gwledig, gwasanaethau gwirfoddol ac efallai y byddwn yn gweithio gydag 'Ynni Sir Gâr' i ddefnyddio eu ceir trydan neu hyd yn oed uwchraddio i gerbyd cludo criw er mwyn cludo pobl o fan i fan. Yn amlwg, mae angen mireinio'r logisteg, fodd bynnag yn sicr mae'n rhywbeth yr ydym yn ei ystyried ac yn ymwybodol ohono. Felly, cawn weld beth ddaw o'r broses dendro yr wythnos hon, ond yn y dyfodol bydd angen i ni ymestyn y ddarpariaeth hefyd i'r rhan fwyaf o gymunedau gwledig y Sir. Rwy'n rhannu eich pryderon ac roedd yn siomedig i glywed bod y cwmni yn tynnu'n ôl o nifer o lwybrau, ond rydym yn ceisio gwneud cymaint ag y gallwn i barhau i ddarparu gwasanaethau bysiau mewn ardaloedd gwledig".

5. CWESTIYNAU A RHYBYDD GAN Y CYHOEDD

Dywedodd y Cadeirydd nad oedd dim cwestiynau wedi dod i law gan y cyhoedd.

6. STRATEGAETH DDIGARTREFEDD RANBARTHOL

Gan gyfeirio at gofnod 17 y cyfarfod oedd wedi ei gynnal ar 4 Mehefin, 2018, pan oedd y Bwrdd Gweithredol wedi cymeradwyo dull i'w fabwysiadu dan y Strategaeth Digartrefedd Ranbarthol, cafodd y Bwrdd ddogfen strategaeth derfynol i'w hystyried a oedd yn nodi'r themâu a'r blaenoriaethau allweddol ynghylch sut y byddai Sir Gaerfyrddin, Ceredigion a Phowys yn atal digartrefedd dros y blyneddau nesaf. Nodwyd mai nod y strategaeth oedd sicrhau bod digon o ddewisiadau a chyfleoedd ar gael ar gyfer pobl leol i gael mynediad at dai fforddiadwy neu dai cymdeithasol i'w galluogi i aros yn y gymuned o'u dewis.

Cyfeiriodd y Bwrdd Gweithredol, wrth gymeradwyo nod yr adroddiad, at y term 'Digartrefedd' ac i'r cydsyniad bod pobl sy'n cyflwyno eu hunain yn ddigartref yn rhai sydd heb do uwch eu pennau a'u bod yn 'cysgu ar y strydoedd'. Nodwyd bod nifer o wahanol raddau o ddigartrefedd sydd, er enghraifft, yn cynnwys pobl sy'n cysgu ar y stryd, pobl sy'n cael hysbysiad i adael eu heiddo gan eu landlord, neu sy'n byw mewn eiddo gorlawn. O ystyried hynny, roedd consensws y gellid ystyried nodi terminoleg arall yn lle digartrefedd.

PENDERFYNWYD YN UNFRYDOL

6.1 ARGYMELL I'R CYNGOR gymeradwyo'r Strategaeth Digartrefedd Ranbarthol.

6.2 Rhoi ystyriaeth i nodi terminoleg arall yn lle digartrefedd

7. YMRWYMIAD COURTAULD 2025

Rhoddodd y Bwrdd Gweithredol ystyriaeth i adroddiad a oedd yn cynnig i'r Cyngor Sir ymuno ag Ymrwymiad Courtauld 2025, sef cytundeb gwirfoddol deng mlynedd i leihau gwastraff bwyd o 20% erbyn 2025 gan ddod â'r sefydliadau ar draws y system fwyd ynghyd i sicrhau bod cynhyrchu a defnyddio yn fwy cynaliadwy. Nodwyd er na fyddai ymuno â'r Ymrwymiad yn golygu unrhyw oblygiadau ariannol i'r Awdurdod, byddai'n rhoi cyfle i ymgychu a chael deunydd arall i gryfhau nodau ac amcanion presennol y Cyngor o ran annog pobl i ailgylchu gwastraff bwyd anochel a chyrraedd targedau statudol:-

PENDERFYNWYD YN UNFRYDOL fod Cyngor Sir Gaerfyrddin yn ymuno ag Ymrwymiad Courtauld 2025 fel arwydd o'i strategaeth bresennol i leihau gwastraff bwyd.

8. RHAGLEN GYFALAF PUM MLYNEDD 2019/20-2023/24

Bu'r Bwrdd Gweithredol yn ystyried adroddiad oedd yn bwrw golwg gychwynnol ar y rhaglen gyfalaf 5 mlynedd o 2019/20 i 2023/24, a fyddai'n sail i'r broses ymgynghori ynghylch y gyllideb gyda'r aelodau a phartïon perthnasol eraill. Byddai'r adborth o'r broses ymgynghori hon, ynghyd â chanlyniad y setliad terfynol, yn cyfrannu at yr adroddiad terfynol ynghylch y gyllideb a fyddai'n cael ei gyflwyno i'r Cyngor i'w ystyried ym mis Chwefror, 2019.

Dywedodd yr Aelod o'r Bwrdd Gweithredol dros Adnoddau fod yr adroddiad yn dilyn cymeradwyo'r rhaglen gyfalaf ym mis Chwefror 2018 a bod y cynigion a nodwyd ynddo wedi datblygu'r rhaglen am flwyddyn ychwanegol a bod

addasiadau'n ofynnol yn sgil newidiadau o ran cyllid ac o ran gofynion gwasanaethau.

Roedd y prif feysydd newid wedi'u hamlinellu yn Adran 5 yr adroddiad a oedd yn cynnwys buddsoddiad parhaus yn 2023/24 yn y Grant Cyfleusterau i'r Anabl, Cynnal a Chadw Priffyrdd a Phontydd, Diogelwch Ffyrdd, cynnal a chadw cyfalaf (adeiladau), prosiectau strategaeth trawsnewid a chynlluniau ysgolion Band B. Yn ogystal, roedd yr Is-adran Priffyrdd wedi sicrhau cyllid am ddwy flynedd, drwy Grant Adnewyddu Ffyrdd ar gyfer 2019/20 a 2020/21.

Roedd y Rhaglen Moderneiddio Addysg hefyd wedi newid ar gyfer blynyddoedd 2019/20 i 2023/24, ac roedd cyllidebau wedi cael eu hail-broffilio a rhai cynlluniau newydd wedi cael eu cyflwyno yn cynnwys Ysgolion Cydweli, Hendy, Llandeilo ac ysgolion cyfrwng Cymraeg ac ysgolion dwy ffrwd yn Rhydaman. Roedd hyn gan fod Llywodraeth Cymru wedi cyhoeddi'n ddiweddar ei bod yn cymeradwyo rhaglen Band B a fyddai'n para tan 2024, yn sgil newid y gyfradd ymyrryd yn sylweddol o 50% i 65% ar gyfer ysgolion yn gyffredinol ac o 50% i 75% ar gyfer ysgolion arbennig. Roedd hynny'n rhoi cyfle i'r awdurdod ddarparu rhagor o ysgolion o fewn y rhaglen Band B sydd gwerth £129.5 miliwn, y mae'n ariannu £70m ohono.

Nodwyd bod hyn hefyd yn cynnwys cynlluniau'r Fargen Ddinesig ar gyfer y Pentref Llesiant a'r Egin. Nodwyd hefyd bod Ardal Llanelli a Chanolfan Hamdden Llanelli yn elfennau allweddol o'r Pentref Llesiant.

Cadarnhaodd yr Aelod o'r Bwrdd Gweithredol fod y rhaglen yn cynnwys benthyca, a hynny gyda chymorth a heb gymorth, derbyniadau cyfalaf, grantiau cyfalaf a chyfraniadau cyfalaf. Os byddai'r rhaglen yn cael ei mabwysiadu, byddai'n cynnig gwariant wedi'i gyllido'n llawn o oddeutu £260m dros y pum mlynedd nesaf, gan gynnwys £128 o gyllid allanol.

PENDERFYNWYD YN UNFRYDOL nodi a chymeradwyo, at ddibenion ymgynghori, y rhaglen gyfalaf arfaethedig.

9. SYLFAEN TRETH Y CYNGOR - 2019-20

Bu'r Bwrdd Gweithredol yn ystyried yr adroddiad ynghylch Sylfaen y Dreth Gyngor 2019-20. Atgoffwyd ei bod yn ofynnol i'r Cyngor benderfynu, yn flynyddol, ar Sylfaen y Dreth Gyngor a Sylfaen y Dreth Gyngor ar gyfer pob cymuned yn ei ardal, at ddibenion cyfrifo lefel y Dreth Gyngor am y flwyddyn ariannol oedd i ddod a bod y gwaith cyfrifo blynyddol wedi cael ei ddirprwyo i'r Bwrdd Gweithredol, o dan ddarpariaethau Adran 84 o Ddeddf Llywodraeth Leol 2003 a Rheoliadau Trefniadau Gweithrediaeth Awdurdodau Lleol (Swyddogaethau a Chyfrifoldebau) (Diwygio) (Cymru) 2004.

Roedd cyfrifiad Sylfaen y Dreth Gyngor ar gyfer y Cyngor Sir am 2019-20 wedi'i nodi yn Nhabl 1a ac wedi'i grynhoi yn Nhabl 1b, a oedd wedi'u hatodi i'r adroddiad. Roedd y cyfrifiad yng nghyswllt Cynghorau Tref a Chymuned unigol ar gyfer 2019-20 wedi'i grynhoi yn Nhabl 2 a'r manylion yn Atodiad A, a oedd hefyd wedi'u hatodi i'r adroddiad.

Nododd y Bwrdd fod adroddiad y Sylfaen Dreth yn darparu cyfrifiadau ar gyfer yr Awdurdod cyfan, yn ogystal â manylion ar gyfer pob ardal cyngor tref a chynghor

cymuned at ddibenion eu praesept, ac mai Sylfaen y Dreth Gyngor ar gyfer blwyddyn ariannol 2019-2020 oedd £72,440.46.

PENDERFYNWYD YN UNFRYDOL:

10.1. bod y cyfrifiadau o ran pennu Sylfaen y Dreth Gyngor ar gyfer blwyddyn ariannol 2019-20, fel y manylwyd arnynt yn Atodiad A o'r adroddiad, yn cael eu cymeradwyo;

10.2. bod Sylfaen y Dreth Gyngor o 72,440.46, fel y manylwyd arni yn Nhablau 1a ac 1b o'r adroddiad, yn cael ei chymeradwyo yng nghyswllt ardal y Cyngor Sir;

10.3. bod y sylfeini treth perthnasol yng nghyswllt y Cynghorau Cymuned a Thref unigol, fel y manylwyd arnynt yn nhabl 2 o'r adroddiad, yn cael eu cadarnhau

10. ADRODDIAD RHEOLI'R TRYSORLYS A DANGOSYDD DARBODAETH CANOL BLWYDDYN EBRILL 1AF 2018 I MEDI 30AIN 2018

Yn unol â Pholisi a Strategaeth Rheoli'r Trysorlys 2018/19 (a fabwysiadwyd gan y Cyngor ar 21 Chwefror, 2018 - gweler Cofnod 10), derbyniodd y Bwrdd Gweithredol y wybodaeth ddiweddaraf ynghylch gweithgareddau Rheoli'r Trysorlys am y cyfnod o 1 Ebrill 2018 hyd at 30 Medi 2018.

PENDERFYNWYD YN UNFRYDOL ARGYMELL I'R CYNGOR FOD yr adroddiad yn cael ei dderbyn.

11. CODIAD CYFLOG NJC 2019 - CYNIGION AR GYFER GWEITHREDU'R GOLOFN GYFLOGAU NEWYDD Y CYTUNWYD ARNI'N GENEDLAETHOL AR 1 EBRILL 2019.

Rhoddodd y Bwrdd Gweithredol ystyriaeth o adroddiad ynghylch codiad cyflog NJC - Cyflwyno Colofn Cyflogau newydd - 1 Ebrill 2019, a oedd yn gryno yn cynnwys:-

- Colofn cyflogau newydd gyda phwyntiau tâl newydd, 1 i 22, a 2% o bwyntiau cynyddrannol hyd at bwynt 42, a fydd yn disodli'r golofn cyflogau bresennol;
- Pwynt sylfaenol newydd o £9.00 yr awr;
- 5 pwynt newydd, nid oes dim yn cyfateb ar hyn o bryd;
- Cynnydd o 2% o leiaf

Dywedwyd wrth y Bwrdd yr amcangyfrifwyd mai cyfanswm y gost i gyllideb 2019/20 yn sgil cyflwyno'r codiad cyflog oedd £5m, gan gynnwys cyfraniadau pensiwn ac Yswiriant Gwladol. Fodd bynnag, ar ôl i hyn gael ei ychwanegu at godiadau cyflog NJC, Soulbury ac Athrawon, ac wrth ystyried y swyddi a ariannwyd gan grantiau gwerth £28m, y gost i gyllideb net y Cyngor 2019/20 oedd £6.2m.

PENDERFYNWYD YN UNFRYDOL gymeradwyo'r ymagwedd argymelledig a amlinellwyd yn yr adroddiad ar gyfer ymgynghoriad parhaus ag undebau llafur, y Panel Ymgynghorol ynghylch Tâl a'r Bwrdd Gweithredol

**12. UNRHYW FATER ARALL Y GALL Y CADEIRYDD OHERWYDD
AMGYLCHIADAU ARBENNIG BENDERFYNU EI YSTYRIED YN FATER BRYD
YN UNOL AG ADRAN 100B(4)(B) O DDEDDF LLYWODRAETH LEOL, 1972.**

Dyweddodd y Cadeirydd nad oedd unrhyw eitemau eraill o fusnes brys.

CADEIRYDD

DYDDIAD

Y BWRDD GWEITHREDOL 21 IONAWR, 2019

GWASANAETHAU CYMDEITHASOL A DEDDF (CYMRU) LLES 2014 - POLISI A DIWYGIADAU GWEITHDREFN I GODI TÂL AM WASANAETHAU I OEDOLION

Yr argymhellion / penderfyniadau allweddol sydd eu hangen:

1. Bydd Sir Gaerfyrddin yn dal i godi tâl am leoliadau mewn cartref gofal ar wahân i'r lleoliadau hynny sydd wedi eu heithrio gan y Ddeddf. Bydd Sir Gaerfyrddin yn codi tâl am yr holl leoliadau mewn cartref gofal o ddiwrnod cyntaf y lleoliad.
2. Bydd y tâl yn seiliedig ar adennill cost y lleoliad yn llawn, oni bai bod y preswlydd yn cael ei asesu yn unol â'r ddeddfwriaeth, y rheoliadau, y côd a'r polisi lleol i dalu llai na'r gost lawn. Yn yr achos hwnnw codir y tâl asesedig ar y preswlydd yn unol â'i allu i dalu.
3. Bydd y gost fesul noson ar gyfer pob lleoliad tymor byr (gan gynnwys gofal seibiant) yn seiliedig ar adennill cost y lleoliad yn llawn. Yn achos lleoliadau mewn cartref gofal Awdurdod Lleol y tâl a godir bydd y tâl safonol. Yn achos lleoliadau mewn cartref gofal yn y sector annibynnol y tâl a godir bydd y swm dan contract. Byddai defnyddwyr gwasanaeth yn talu hyd at y ffi uchaf a osodwyd gan Lywodraeth Cymru yr wythnos a byddai nifer fawr yn talu llawer llai neu'n derbyn y gwasanaeth am ddim dibynnu ar yr asesiad ariannol. (Mwyafswm o £80 wedi gosod i 2018-19).
4. Bod y rheolau asesu lleoliadau dibreswyl yn cael eu rhoi ar waith mewn perthynas ag arosiadau yr asesiwr ar y dechrau nad ydynt yn fwy nag 8 wythnos ar unrhyw achlysur ac nid yw'n berthnasol i leoliadau dros dro na lleoliadau parhaol.
5. Codir tâl am arhosiad tymor byr sy'n fwy nag 8 wythnos ar unrhyw achlysur fel petai'r preswlydd yno dros dro neu'n barhaol fel sy'n briodol, o ddiwrnod cyntaf y 9fed wythnos ac yn unol â'r diffiniadau yn y Ddeddf, y Rheoliadau a'r Côd.
6. Bydd Sir Gaerfyrddin yn codi tâl o ddiwrnod cyntaf y gwasanaeth ar gyfer pob gwasanaeth y codir tâl amdano. Bydd hyn yn berthnasol i leoliadau a gwasanaethau dibreswyl mewn cartref gofal.
7. Bod y dewis o lety a gynigir i ddefnyddiwr gwasanaeth yn seiliedig ar ddau gartref gofal o'r un math unrhyw le yn y Sir ac nad yw'n gyfyngedig yn ddaearyddol oni bai bod anghenion daearyddol penodol wedi eu cynnwys yn y cynllun gofal a chymorth.
8. Os bydd defnyddiwr gwasanaeth, yr asesiwr na fydd yn talu cost lawn y lleoliad, yn dewis llety sy'n ddrytach na'r dewisiadau a gynigir adeg y lleoliad bydd y gost ychwanegol yn cael ei chyfrifo o'r uwch y cyfraddau a godir gan y ddau gartref gofal a gynigir.

9. Os bydd defnyddwyr gwasanaeth yn gallu talu cost lawn eu lleoliad, yn unol â'r ddeddfwriaeth, gallant ddewis unrhyw gartref gofal a bydd yn rhaid iddynt dalu cost lawn eu lleoliad.
10. Nid yw Sir Gaerfyrddin yn codi tâl ar ddefnyddiwr gwasanaeth am ofal a chymorth asededig os darperir hynny i'r defnyddiwr gwasanaeth mewn lleoliad addysgol a thra bod yr unigolyn hwnnw'n mynychu cwrs addysgol cydnabyddedig.
11. Bod y Ddeddf, y Rheoliadau a'r Côt newydd yn cael eu rhoi ar waith mewn perthynas â defnyddwyr gwasanaeth presennol o 6 Ebrill 2016.
12. Bod y polisïau presennol yn cael eu cadw ac eithrio ar gyfer yr elfennau hynny o'r polisïau a'r arferion sy'n cael eu newid yn sgil penderfyniadau sy'n deillio o'r adroddiad hwn a'r elfennau hynny nad ydynt bellach yn cydymffurfio â'r ddeddfwriaeth newydd.

Y Rhesymau:

Mae Deddf Gwasanaethau Cymdeithasol a Llesiant (Cymru) 2014 yn cael ei hategu gan Reoliadau a Chôt Ymarfer a ddaeth i rym ar 6 Ebrill 2016. Roedd angen adolygu'r adroddiad interim a'i ddiweddarau i sicrhau bod polisïau'r Awdurdod Lleol ar gyfer codi tâl ar ddefnyddwyr gwasanaeth yn cydymffurfio â'r ddeddfwriaeth newydd.

Angen ymgynghori â'r Pwyllgor Craffu perthnasol - Oes— Pwyllgor Craffu Gofal Cymdeithasol ac Iechyd – 17 Rhagfyr, 2018

Argymhellion/sylwadau Pwyllgor Craffu:

- PENDERFYNWYD ARGYMELL I'R BWRDD GWEITHREDOL CYMERADWYO'R Polisi A Diwygiadau Gweithdrefn I Godi Tâl Am Wasanaethau I Oedolion.

Angen i'r Bwrdd Gweithredol wneud Penderfyniad Oes – 21 Ionawr, 2019

Angen i'r Cyngor wneud penderfyniad Nac Oes

YR AELOD O'R BWRDD GWEITHREDOL SY'N GYFRIFOL AM Y PORTFFOLIO:-
Cyngorydd Jayne Tremlett (Y Portffolio Gofal Cymdeithasol ac Iechyd)

Y Gyfarwyddiaeth: Cymunedau Enw Pennaeth y Gwasanaeth: Neil Edwards Avril Bracey Awdur yr Adroddiad: Rhys Page	Swyddi: Pennaeth y Gwasanaethau Integredig Dros Dro Pennaeth Iechyd Meddwl ac Anableddau Dysgu Uwch Rheolwr Busnes	Rhifau ffôn: (01267) 228900 (01267) 242492 (01267) 228729 Cyfeiriadau E-bost: nedwards@sirgar.gov.uk abracey@sirgar.gov.uk rjpage@sirgar.gov.uk
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**EXECUTIVE SUMMARY
EXECUTIVE BOARD
21ST JANUARY, 2019**

**SOCIAL SERVICES AND WELL-BEING (WALES) ACT 2014 –
POLICY AND PROCEDURES REVISIONS FOR CHARGING
ADULTS FOR SERVICES**

1. BRIEF SUMMARY OF PURPOSE OF REPORT.

The attached report sets out the revised policy, bringing together the previous policies and the interim policy agreed in 2016. The interim policy has now been in place since April 2016, and to date has faced no significant challenge, therefore this report is to update the current Policy and Procedures which came into effect at that time and formalise the report into a revised Policy.

The initial report was an interim report to cover the introduction of the Social Services and Well-being (Wales) Act 2014, which was implemented from April 2016.

This policy and procedure document will now succeed all previous versions of the Charging Policy and will now provide a combined policy and procedure for both Residential and Non-residential services.

As outlined in the 2016 report for the most part the Social Services and Well-being (Wales) Act has built on existing frameworks set down by previous legislation. Therefore changes are broadly limited to those seen in the interim report.

Carmarthenshire have now reviewed the decisions made in 2016 and will continue to charge for services in the same way.

Summary of points from the policy.

- Personal Allowance has been renamed as Minimum Income Amount and will increase annually in line with Welsh Government announcements. 2018-19 is set at £28.50 per week (This is revised annually by Welsh Government)
- Non-residential Charges are applicable from first day of service.
- Persons with Care and Support needs who have confirmed diagnosis of CJD will not be charged for Residential or Non-residential services.
- The local authority can now charge interest of deferred payments from the date of the Agreement.
- Short Term residents in a care home are now charged as if they were receiving non-residential services.

- Choice of accommodation rules will apply to “Temporary / Permanent” placements in a care home, but this is not applicable to placements made under “Short Term” rules.
- AVC are renamed to “Additional Costs” and will continue to apply, but will now be calculated from the highest cost of the 2 available homes, and not the mid-point as per the Interim Policy 2016.

Carmarthenshire will not charge for the following:

- Care and Support Services for a child under 18 years of age
- Care and Support provided to those with Creutzfeldt Jacob Disease where that disease has been clinically diagnosed by a registered medical practitioner
- Care and Support Services offered, arranged or provided for a person as part of a package of aftercare services in accordance with Section 117 of the Mental Health Act 1983.
- The provision of transport to a day service where the transport is provided as part of meeting a person’s assessed needs.
- To undertake an assessment of needs, care planning and care plans and Information and Advice for these functions.
- Undertaking a financial assessment, provision of a statement of charge and undertaking a review of a determination of charge and Information and Advice for these functions under the legislation.
- Reablement for service users for up to 6 weeks, (e.g. Reablement at home, in a care home, etc.) with provision for extended periods where the assessment of needs supports an extension.
- Independent Professional Advocacy where a local authority has arranged for this in accordance with the legislation.

DETAILED REPORT ATTACHED?	YES
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IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report :

Signed: **Neil Edwards** Interim Head of Integrated Services
Avril Bracey Head of Mental Health & Learning Disabilities

Policy, Crime & Disorder and Equalities YES	Legal YES	Finance YES	ICT NONE	Risk Management Issues YES	Staffing Implications NONE	Physical Assets NONE
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1. Policy, Crime & Disorder and Equalities

The attached report sets out the policy and procedure changes which Elected Members need to consider in order for the Authority to comply with the Social Services and Well-being (Wales) Act 2014.

2. Legal

This policy follows the legislation laid down by the Social Services and Well-Being (Wales) Act 2014. The Act requires the authority to make certain policy decisions regarding charging for certain services. The interim report has been in place since April 2016 and to date has not faced any challenge.

3. Finance

The implementation of the new Act has had financial implications on income. The changes to short stay rules has meant that there was a loss of income to the Authority whilst the ability to apply a charge from the first day of service for those people receiving non-residential services has increased income. Overall there has been a reduction in income.

By changing the "Additional Cost" calculation from the mid-point to higher point will have a marginal cost implication to the authority. Based on approx. 100 placements with "Additional Costs", but only a small percentage of these would be effected by the change, and this change would be favourable to the individuals in all circumstances, therefore it is anticipated that there would be a small loss.

5. Risk Management Issues

If the Authority fails to update its current policy and make a decision on the main areas of policy and practice, then the Authority will have an inconsistent charging policy and this could lead to risk of legal challenge.

CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below

Signed: **Neil Edwards** Interim Head of Integrated Services

Avril Bracey Head of Mental Health & Learning Disabilities

1. Scrutiny Committee The Social Care & Health Scrutiny Committee was consulted on 17th December, 2018.

2. Local Member(s) N/A

3. Community / Town Council N/A

4. Relevant Partners N/A

5. Staff Side Representatives and other Organisations N/A

Section 100D Local Government Act, 1972 – Access to Information

List of Background Papers used in the preparation of this report:

THESE ARE DETAILED BELOW

Title of Document	File Ref No.	Locations that the papers are available for public inspection
Report to Executive Board – 21 st March, 2016 – (Agenda Item No. 16) – “Social Services and Wellbeing (Wales) Act 2014 – Policy and Procedure Revisions for Charging for Adults for Services”		http://moderngov/ieListDocuments.aspx?Cld=131&MId=347&Ver=4
Social Services and Wellbeing (Wales) Act 2014		https://www.legislation.gov.uk/anaw/2014/4/contents



**CARMARTHENSHIRE
COUNTY COUNCIL
CHARGING POLICY FOR
SOCIAL CARE SERVICES**

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POLICY FOR CHARGING ADULTS FOR CARE AND SUPPORT AND PREVENTATIVE SERVICES

1. INTRODUCTION

This document sets out the policy for charging persons who are eligible to receive care and support services, support to adult carers, or those who receive certain preventative services (referred to as 'person(s)' within this policy), which are provided or commissioned by Carmarthenshire County Council (referred to as 'Carmarthenshire').

2. LEGAL BACKGROUND

The Social Services and Well-being (Wales) Act 2014 ('the Act') together with Regulations and codes of practice came in to force on the 6th of April 2016.

Part 4 (Direct payments and choice of accommodation) and Part 5 (Charging and Financial Assessments) of the Act relate to charging for services.

Part 5 of the Act (Charging and Financial Assessments) provides the legal framework for charging for Care and Support services and this part of the Act is supported by regulations and a code of practice. This legislation covers charging for all types of care including the provision of care and support and/or Direct Payments to a person in their own home and/or in a care home. It also covers charges for support to carers. In addition, further technical issues are covered including choice of accommodation and debt recovery.

Charging for services is set out in Social Services and Well-being (Wales) Act 2014, the regulations and code of practice made under the Act which are amended from time to time and these will be referred to as 'legislation' throughout this policy.

3. OPERATING GUIDELINES

Carmarthenshire will apply the legislation as set out by the Welsh Government together with amendments and revisions as announced from time to time.

Where Carmarthenshire has discretion on the application of certain aspects of the legislation, then this document sets out how these will be applied.

The overarching principle is that people who are asked to pay a charge will only be required to pay what they can afford.

Carmarthenshire will charge for all services set out in this policy and periodically review the services it charges for.

Where a charge is levied, then Carmarthenshire will collect these charges in accordance with the legislation to ensure fairness and equity for all to ensure that services are not unfairly subsidised by the public purse.

Operational rules for commencing or terminating packages of care are outlined in “Appendix 1”. The rules for varying charges to the person with care and support needs are also defined.

4. SETTING OF CHARGES FOR SERVICES

Carmarthenshire will normally review its charges annually as part of the budget setting process, but may review them more often, where appropriate or necessary.

5. MAXIMUM WEEKLY CHARGE

In charging for non-residential services Carmarthenshire will apply the maximum weekly charge (known as the ‘Cap’) as announced by the Welsh Government. The maximum charge will apply to those services a person is assessed to receive. The maximum charge (Cap) will exclude those services that are charged as a flat rate or considered as ordinary living costs and these charges will be levied as an additional charge.

For care home placements the maximum weekly charge will be the full cost of the placement.

6. SERVICES WHERE NO CHARGES ARE MADE

Carmarthenshire will not charge for the following:

- Care and Support Services for a child under 18 years of age
- Care and Support provided to those with Creutzfeldt Jacob Disease where that disease has been clinically diagnosed by a registered medical practitioner
- Care and Support Services offered, arranged or provided for a person as part of a package of aftercare services in accordance with Section 117 of the Mental Health Act 1983
- The provision of transport to a day service where the transport is provided as part of meeting a person’s assessed needs.
- To undertake an assessment of needs, care planning and care plans and Information and Advice for these functions
- Undertaking a financial assessment, provision of a statement of charge and undertaking a review of a determination of charge and Information and Advice for these functions under the legislation.
- Reablement for service users for up to 6 weeks, (e.g. Reablement at home, in a care home, etc.) with provision for extended periods where the assessment of needs supports an extension.
- Independent Professional Advocacy where a local authority has arranged for this in accordance with the legislation.

Carmarthenshire will also not charge for:

- Equipment/aids to daily living
- Services not included in the care and support plan and/or unplanned services.

*Meals on wheels – Following the removal of MOW service in Carmarthenshire this will no longer apply

- Employment Services and Day Opportunities – attending a centre for employment training, and/or personal development and support to gain employment.
- Supported Employment – providing care and /or support to a disabled person in a work place
- Support in an educational setting – providing care and/or support to a disabled person in a recognised educational setting, and whilst attending a recognised educational course.
- Services delivered solely by volunteers.

7. SERVICES FOR WHICH A CHARGE IS MADE

Carmarthenshire will charge for the following services which it arranges or provides;

- Services provided in a Care Home – Any services provided to a resident whether the placement is permanent or temporary e.g. Residential Care, Nursing Care and Respite care (excluding residential reablement for up to 6 weeks)
- Domiciliary Care and Support, including extra care – provision of personal care, non-personal care and support at home
- Direct Payments – a payment made to a person to enable him/her to purchase their own care
- Day Care – covers a range of services whilst attending a centre or any other setting outside of a person's own home
- Supported Living – Care and support for a person from paid carers within a person's own home (other than Home Care)
- Adult Placement – where an adult lives with an approved carer who is paid to support them
- Replacement Care – where the informal carer is temporarily replaced by a care worker to provide care and/or support to a person in their own home
- One to One Support in the Community (Community Support) – bespoke services for individuals (other than Domiciliary Care or Day Care).
- Telecare – Assistive technology which supports independent living

8. FLAT RATE CHARGES

Carmarthenshire will also charge for the following services which are considered to be a substitute for ordinary daily living costs or would be considered as preventative services. These services will be charged at a flat rate and the charge will not be subject to a financial assessment.

- Meals on wheels*
- Meals at an establishment
- Laundry

Financial assessments will not ordinarily be undertaken on the above services, but where the council has reason to believe that the cumulative effect of flat rate charges is or may be unaffordable, then it will offer a financial assessment.

*Meals on wheels – Following the removal of MOW service in Carmarthenshire this will no longer apply

The charge for these services will not be included in the maximum weekly charge (Cap) in determining how much someone pay for services.

9. RESIDENTIAL PLACEMENTS

Carmarthenshire will charge for all placements into a care home, irrespective of service or duration, unless the service is defined in the legislation as being one where a charge cannot be applied.

The charge that will be applied, will be the full cost of the placement cost per night for placements into a care home. Carmarthenshire will charge for each night that the person with care and support needs is assessed to access the service. Accessing a service for part of a day will be charged at the full day rate. Person with care and support needs will be charged for the day of admission but will not be charged for the day of discharge.

Carmarthenshire will vary the charge to a person with care and support needs in the following circumstance:

- Hospital admission - Where a person with care and support needs is admitted to hospital, and the care home placement is retained, then the charge to the person with care and support needs during the absence will be set at a rate equal to the Department of Work and pensions benefits entitlements of the individual person with care and support needs only.
- Holidays/ Short Stays with families by prior agreement - A person with care and support needs will not be charged for their care home placement whilst on holidays/short stays and staying with family or in any other setting for the first 21 days of absence in any financial year. Where the absence exceeds 21 days then Carmarthenshire reserves the right to reassess that person's needs and/or terminate the care home placement. Where a person with care and support needs receives alternative services when absent from the care home, that person will be required to pay the appropriate charge for these services.

Carmarthenshire has further discretion to disregard a main or only home and does so in the following circumstances:

- Where it is occupied by a carer who has given up his/her home to live with a service user for the main purpose of caring for that person and he/she does not own/have an interest in another property.
- Where a relative as defined in the legislation or a close friend/companion has lived in the home of the person with care and support needs' home all/for the major part of their adult life, and does not own any other property. The disregard only applies for the period of time that the relative or close friend/companion continues to live at the property. What constitutes a 'close friend/companion' and 'all or major part of their adult life' in this paragraph is at the sole discretion of Carmarthenshire County Council.

*Meals on wheels – Following the removal of MOW service in Carmarthenshire this will no longer apply

10. SHORT TERM/RESPITE STAYS AT A CARE HOME

Where a person with care and support needs is a short term resident in a care home, and at the point of admission the placement is planned to be less than 8 weeks, then this placement will be financially assessed as if that person was receiving Non Residential care. A person with care and support needs can have multiple stays in any period which are classed as short term which cumulatively add up to more than 8 weeks.

The charge per night for all short term placements will be based on the full cost of the placement. For placements into Carmarthenshire care homes, the charge will be, the published weekly charge for the care homes it operates, and for placements into an Independent Sector care home the charge will be the contracted amount.

The non-residential assessment rules will be applied to short term stays which are assessed at the outset as not exceeding 8 weeks on any one occasion. Temporary placements or permanent placements which for any reason last for 8 weeks or less will be financially assessed using the residential charging rules.

Short term stays that extend beyond 8 weeks on any one occasion will be charged as though the resident is subject to the residential charging rules as appropriate from the first day of the 9th week.

Where a short term stay extends beyond 8 weeks and the extension is due to the non-availability of an assessed service and a direct payment is not the preferred choice or will not meet the person's assessed outcomes, then the short stay charging rules can be extended beyond 8 weeks where the resident cannot be discharged solely because the assessed services remain unavailable. In such instances the person with care and support needs will be charged for the services they actually receive.

11. DIRECT PAYMENTS

A person with care and support needs receiving a direct payment in place of a service will be financially assessed and charged in the same way as though they were provided with the equivalent service.

12. FINANCIAL ASSESSMENT

All persons with care and support needs who receive a chargeable service other than services provided at a flat rate fee, will be invited to have a financial assessment to determine how much he/she can afford to pay for their assessed package of Care and Support commissioned or provided by the local authority.

Persons with care and support needs can decide not to declare their financial assets. In such cases, that person will be charged the appropriate charge for the services they are assessed to receive up to the maximum charge for a non-residential service and the full cost for a residential care home placement.

When a person with care and support needs declares their assets, expenditure, expenses etc., they will be asked to provide documentation to support and enable verification of financial and other information declared for the financial assessment. In

*Meals on wheels – Following the removal of MOW service in Carmarthenshire this will no longer apply

the event that the documentation requested is not provided then that person will be assessed as if they have chosen not to declare their assets or any other information.

A person with care and support needs will normally be expected to return the completed financial assessment information within 15 working days. A person with care and support needs can request an extension, and Carmarthenshire will consider any reasonable request and where an extension is refused then it will explain the reasons for the refusal.

Carmarthenshire will undertake the financial assessment and will confirm the result, together with a breakdown of the calculation in writing to the person with care and support needs or any other person nominated by them.

All charges will be applied from the first day that the service(s) are received. Where any service(s) changes or a person's financial circumstances change, then any revised charges will be applied from the date the change occurred.

Carmarthenshire will normally review the financial assessment annually or sooner if further relevant information becomes available or if it is notified of changes to someone's financial circumstances.

The financial assessment will not impact in any way on the assessment of a person's care or support needs.

The weekly charge for services runs from a Monday to Sunday.

The authority will charge based on the assessed level of service of the person shown in the care and support plan and variations to the charge will apply as set out in Appendix 1. Variations to the assessed level of service will not necessarily mean that the charge to the person with care and support needs will reduce for that week because the person may have been financially assessed to pay less than the charge for the reduced service.

13. BENEFITS ADVICE

As part of the financial assessment Carmarthenshire will offer advice on benefits and/or will refer a person with care and support needs, with their agreement, to partner agencies or other organisations who will offer welfare benefits advice and help to submit applications where appropriate.

14. MINIMUM INCOME AMOUNT (MIA)

Carmarthenshire will apply the Minimum Income Amount at levels set by Welsh Government each year for all placements in to a care home.

Carmarthenshire will apply the Minimum Income Amount (referred to as the buffer) at levels set by Welsh Government each year to all service users assessed to receive Non-residential services.

In addition to the Minimum Income Amount set by Welsh Government for non-residential services only, and in order to avoid intrusive questions about a person with care and support need's disability and disability expenditure, Carmarthenshire will also

*Meals on wheels – Following the removal of MOW service in Carmarthenshire this will no longer apply

allow an additional allowance/disregard for disability expenditure. The additional amount will be applied as follows when the person with care and support needs receives one of the benefits below:

- An amount of 25% of the day time component of Attendance Allowance (AA), Disability Living Allowance Care Component (DLA) and Personal Independence Payment Daily Living (PIP).

Carmarthenshire will also allow as an expense, any expenditure which a person with care and support needs expends to purchase non-residential care directly from a registered care provider. Payments made through a direct payments scheme are excluded from this provision.

15. NIGHT TIME SERVICES

Carmarthenshire will charge for assessed services included in the care and support plan which are provided during the night.

However, within the financial assessment, Carmarthenshire will not include the night time component of Attendance Allowance (AA) or Disability Living Allowance care component (DLA) or Personal Independence Payment Daily Living (PIP) whether a night time service is received or not. Normally, the difference between Attendance Allowance higher rate and lower rate and the difference between Disability Living Allowance care component higher rate and middle rate and the difference between Personal Independence Payment daily living enhanced and daily living basic is treated as the element paid for night time care.

16. TREATMENT OF INCOME

Carmarthenshire will include in the financial assessment all income unless it has to be specifically disregarded within the legislation together with any changes as announced by Welsh Government from time to time.

17. TREATMENT OF CAPITAL

Carmarthenshire will include in the financial assessment all capital unless it has to be specifically disregarded within the legislation together with any changes as announced by Welsh Government from time to time.

Carmarthenshire will also apply the Capital limits for both non-residential and residential financial assessments as announced by Welsh Government from time to time.

18. PEOPLE WHO LACK CAPACITY

Where a person lacks mental capacity Carmarthenshire will communicate and/or work with a person that has the legal authority to make financial decisions on behalf of the person with care and support needs. Where such legal authority does not exist, then wherever possible the Authority will engage with family members. In all cases

*Meals on wheels – Following the removal of MOW service in Carmarthenshire this will no longer apply

Carmarthenshire will apply the principles of the Mental Capacity Act 2005 and the code of practice.

Deputyship for property and financial affairs

Carmarthenshire will not normally apply to the Court of Protection to become Deputy for Property and Financial affairs for a person with care and support needs. Such action will only be taken as a last resort, and only for those persons who are receiving services from Carmarthenshire to meet their care and support needs, and where it is considered in the person's best interest to do so.

In the event that Carmarthenshire applies to become Deputy, then it will recover any costs it incurs for its role as deputy. An application to become deputy will only occur where the council believes that there are sufficient funds that require management, and also funds available to cover the costs of the deputy's role.

19. ADVOCACY

Carmarthenshire will engage with the person with care and support needs or their representative to complete the financial assessment and charging process. Where a person is identified as needing support to engage in this process then Carmarthenshire will, through that person's social worker, seek to identify someone who can help to advocate on behalf of that person.

Carmarthenshire will also inform people with care and support needs who are receiving a chargeable service of their right to obtain independent financial advice.

20. DEFERRED PAYMENTS

Where a person with care and support needs has a property that is included in their financial assessment, then in certain circumstances they are able to enter into a deferred payment agreement with Carmarthenshire. Where a deferred payment is agreed then it will enable them to defer or delay paying some or all of their care costs until a later date, so they are not required to sell their property immediately upon entering a care home. This arrangement can provide additional flexibility of how and when the person with care and support needs pays their care costs.

The amount that can be deferred each week will be determined from the financial assessment. Carmarthenshire will obtain a professional valuation of a property, and will defer an amount up to the value of the person's interest in a property less an allowance for selling costs as set out in the legislation. Where appropriate the property will be revalued periodically for the purposes of determining the amount which can be deferred.

Persons seeking or being offered deferred payments will be advised to seek independent financial and or legal advice to ensure that persons or their representatives understand the terms of a deferred payment agreement and the commitment they are agreeing to.

Carmarthenshire will charge interest on sums deferred at the interest rates set within the legislation.

The person with care and support needs will be liable for any costs incurred by them e.g. legal fees, financial advice, etc. to enable them to enter into the deferred payment agreement. These costs will be in addition to any fees and charges levied by the local authority.

The legislation sets out in detail the eligibility criteria that needs to be met, for a person to enter in to a deferred payment agreement and Carmarthenshire will apply that eligibility criteria as set out in the legislation.

In agreeing to enter into a deferred payment agreement. Carmarthenshire will directly contract for the placement, or must do so with a partner agency. Deferred payment agreements will not be available for people with care and support needs who contract for themselves or through a 3rd party or any other agency.

Where Carmarthenshire is unable to create a charge that takes priority over, and ranking before any other interest or charge on the property. Carmarthenshire may consider a lower ranking charge where it is satisfied that the debt that may accrue is adequately secured, but this is at the sole discretion of Carmarthenshire.

Where for any reason Carmarthenshire cannot create a charge over the property which the person occupies, or used to occupy, as their main or only home and it is satisfied that the asset(s) offered as security as an alternative are sufficient to meet the value of the debt accrued for the time the person with care and support needs will be in the care home, then Carmarthenshire may at its sole discretion consider applying a charge to an alternative property or land.

Where a person with care and support needs does not meet the requirements of the scheme then a request for a deferred payment will be refused.

Carmarthenshire can refuse to defer any further care costs even where a deferred payment agreement is in place and in certain circumstances it will do so. Where such a decision is implemented it will be in accordance with the legislation and/or the terms and conditions of the deferred payment agreement and Carmarthenshire will explain the basis of its decision.

21. NON PAYMENT OF CHARGES AND DEBT

Carmarthenshire will pursue outstanding charges and debts through the most appropriate channels including recovery action through the courts where appropriate. In such instances Carmarthenshire will also recover all costs and apply for interest on the amount to be recovered.

Carmarthenshire will not withdraw services when a person with care and support needs refuses or is unable to pay their assessed charge.

*Meals on wheels – Following the removal of MOW service in Carmarthenshire this will no longer apply

22. DEPRIVATION OF ASSETS

Where deprivation of an asset may have occurred then Carmarthenshire will make thorough enquiries to ascertain the facts. Where deprivation has occurred Carmarthenshire will take the appropriate steps to protect the public purse.

23. SETTING THE ADDITIONAL COST (AC) FOR PERMANENT ADMISSIONS TO A CARE HOME

For the purposes of setting the additional cost the choice of accommodation offered to a person with care and support needs in Carmarthenshire will initially be based on two care homes of the same type at Carmarthenshire's normal commissioning rate, anywhere within the county, and where both care homes can meet that person's assessed needs. Where 2 care homes at the normal commissioning rate are **not** available, then the next cheapest care homes within the county will form the basis of the calculation.

Where accommodation which is more expensive than the options offered at the time of placement is chosen then the additional cost will be calculated from the higher of the 2 rates charged by the two care homes which were offered.

Where an additional cost is to be funded by a 3rd party on behalf of the person with care and support needs, then Carmarthenshire will make reasonable enquiries to satisfy itself that the additional cost is affordable and that such costs would not fall on Carmarthenshire in the future.

In these circumstances the person with care and support needs will be required to evidence that they have the financial ability to meet the additional costs over the anticipated term of the placement.

In the event that the third party is unwilling to provide such evidence or does not have the financial ability to meet the additional costs over the anticipated term of the placement then Carmarthenshire will not contract for the placement at the chosen care home.

Where a placement will be made out of county then the same rules will apply except that the standard rates that will apply will be the rates appropriate in the county of placement.

Where a person with care and support needs, in accordance with the legislation, is able to pay for the full cost of their placement, then they can choose any care home and will be required to pay the full cost of their placement as Carmarthenshire will treat such a contract as outside its usual commissioning rate because it is a bespoke contract and thus the Care and Support (Choice of Accommodation) (Wales) Regulations 2015 do not apply.

24. APPLICATION OF THE RULES TO INDIVIDUAL CASES

The Director of Community Services or their successor will make decisions in individual cases where the legislation allows discretion or is unclear and that he/she may delegate this responsibility to a suitable senior officer as appropriate. In reaching

*Meals on wheels – Following the removal of MOW service in Carmarthenshire this will no longer apply

a decision, he/she will endeavour to ensure that the decision is fair and consistent and will result in all persons with care and support needs being treated fairly. Such decisions will not prevent a person with care and support needs from requesting a review of the decision through the appropriate process.

25. REVIEWS AND METHODS OF APPEAL

Carmarthenshire will always endeavour to undertake a financial assessment in an accurate and professional way. Some financial assessments will appear complex and this will always give rise to queries and in some cases formal reviews.

Where a person with care and support needs believes that the result of a financial assessment is incorrect for any reason, then they will be able to contact the authority by telephone, email or in writing.

Where a query is received it will normally be looked at by the staff member who is responsible for the case. If the issue is not resolved to the person with care and support needs satisfaction, then another officer from within the team will review the query and the initial response.

Should the matter still be unresolved, then the person with care and support needs will have the right to request a formal review, but only where the basis of their request complies with the requirements of the legislation.

Where a formal review is requested, then it will undertake the review in accordance with the legislation.

All persons with care and support needs have the right to access the authority's complaints procedure if they are unhappy with our services or about the way they have been treated. Requesting a review will not prevent a person from accessing the complaints procedure.

Appendix 1**VARIATIONS/ADJUSTMENTS TO CHARGES FOR FINANCIALLY ASSESSED SERVICES**

	All Charged Services
Start of a service	Charge from the 1 st day the service is received - as per 'Operational Rules for Commencement and Termination of Packages'
Permanent termination of a service	For person with care and support needs that pass away, no charge in the week that the service terminates (charge ceases previous Sunday). For other permanent terminations the charge is applied up to and including the day prior to termination date - as per 'Operational Rules for Commencement and Termination of Packages'
Missed call – provider/carer's fault	Service reduction is applied (actual or average as appropriate) and charge is recalculated (charge may not change) - as per 'Operational Rules for Commencement and Termination of Packages'
Cancelled call/service – person with care and support needs' request/fault, e.g. person with care and support needs on holiday	If person with care and support needs gives reasonable advance notice to the service provider then service reduction is applied (actual or average as appropriate) and the charge is recalculated (charge may not change) - as per 'Operational Rules for Commencement and Termination of Packages'
Inclement weather reduced service – missed calls	Service reduction is applied (actual or average as appropriate) and the charge is recalculated (charge may not change) - as per 'Operational Rules for Commencement and Termination of Packages'
Person with care and support needs is admitted to respite and paying respite charge/Receives residential respite and NRSS in the same week	Ensure no duplicate charge. No Non Residential charge for the period in respite (residential care charge is applied). Service reduction is applied to Non Residential service (actual or average as appropriate) and the charge is recalculated (charge may not change for reduced week) - as per 'Operational Rules for Commencement and Termination of Packages'
Service not available	Service reduction applied (actual or average as appropriate) and the charge is recalculated (charge may not change) - as per

*Meals on wheels – Following the removal of MOW service in Carmarthenshire this will no longer apply

	'Operational Rules for Commencement and Termination of Packages'
Person with care and support needs is admitted to hospital	Service reduction applied (actual or average as appropriate) and the charge is recalculated (charge may not change) - as per 'Operational Rules for Commencement and Termination of Packages'
One service stops during the week but others carry on	Service reduction is applied (actual or average as appropriate) and the charge is recalculated (charge may not change) - as per 'Operational Rules for Commencement and Termination of Packages'
Additional Unplanned service(s) received	No charge until the service becomes part of the Care and Support Plan. Charge is applied from the 1 st day the assessed service is received - as per 'Operational Rules for Commencement and Termination of Packages'
Hospital Stays – Residential Care	A charge is applied when a person with care and support needs is admitted to hospital and the placement is retained. The charge is set at a rate equal to the Department of Work and Pensions benefits entitlement of the individual person with care and support needs only
Holidays/short stays with families by prior agreement – Residential Care	The person with care and support needs is not charged for short holidays/stays with families for the first 21 days of absence in any financial year. The basis being that the person with care and support needs will need their income/finances to meet any expenses whilst they are absent from the care home

OPERATIONAL RULES FOR COMMENCEMENT AND TERMINATION OF PACKAGES

1. Commencements

Domiciliary Care – Packages Commissioned in Hours/Minutes and Visits – the total hours per week will be divided by 7 and the result will be multiplied by the number of days remaining in the week including the commencement day.

Hours Commissioned per Week (No. of Visits) – the total hours per week will be divided by 7 and the result will be multiplied by the number of days remaining in the week including the commencement day.

Hours Commissioned Per Day - the total hours per week will be divided by 7 and the result will be multiplied by the number of days remaining in the week including the commencement day.

Services Commissioned in Sessions/Days – charge the actual sessions/days received including the commencement day.

Telecare – A charge will be applied for the number of days remaining in the week including the commencement day.

2. Terminations

Domiciliary Care – Packages Commissioned in Hours/Minutes and Visits – the total hours per week will be divided by 7 and the result will be multiplied by the number of elapsed days in the week up to the day previous to termination day.

Hours Commissioned per Week (No. of Visits) – the total hours per week will be divided by 7 and the result will be multiplied by the number of elapsed days in the week up to the day previous to termination day.

Hours Commissioned Per Day – the total hours per week will be divided by 7 and the result will be multiplied by the number of elapsed days in the week up to the day previous to termination day.

Services Commissioned in Sessions/Days – charge the actual sessions/days received up to and including the termination day.

Telecare – A charge will be applied for the number of days elapsed in the week up to the day previous to termination day.

3. Missed Visits/Service

Domiciliary Care – Packages Commissioned in Hours/Minutes and Visits - Total hours divided by visits and multiplied by the number of visits missed - to be deducted from package.

*Meals on wheels – Following the removal of MOW service in Carmarthenshire this will no longer apply

Hours Commissioned Per Week (No. of Visits) – Total hours per week divided by 7 and multiplied by the number of days missed or service amended as advised by provider.

Hours Commissioned Per Day - Total hours per week divided by 7 and multiplied by the number of days missed or service amended as advised by provider.

Services Commissioned in Sessions/Days – Deduct the actual session/days missed.

Telecare – Service is not amended and is charged throughout.

4. Day Care Sessions

A day care session is defined as:

If a service is received before 1pm on any day and for any length of time then it will count as one session

If a service is received between 1pm and 6pm on any day and for any length of time then it will count as one session

If a service is received after 6pm on any day and for any length of time then it will count as one session

Mae'r dudalen hon yn wag yn fwriadol

BWRDD GWEITHREDOL 21AIN IONAWR 2019

ADRODDIAD MONITRO CYLLIDEB REFENIW Y CYNGOR

Yr Argymhellion / Penderfyniadau Allweddol Sydd Eu Hangen:

Sicrhau bod y bwrdd yn derbyn yr adroddiad Monitro Cyllideb ac yn ystyried y sefyllfa cyllidebol.

O ganlyniad i'r gorwariant sylweddol posibl a ragwelir, bod y Prif Swyddogion a'r Penaethiaid Gwasanaeth yn adolygu eu sefyllfaoedd cyllidebol yn feirniadol ac yn rhoi ar waith bob gweithrediad priodol angenrheidiol, er mwyn cadw o fewn yr adnoddau a ddosbarthwyd, fel mater o frys.

Y Rhesymau:

I ddarparu'r newyddion i'r Bwrdd Gweithredol ynglyn a sefyllfa diweddaraf cyllideb 2018/19, ar 30ain Hydref 2018.

Ymgynghorwyd â'r pwyllgor craffu perthnasol: AMHERTHNASOL

Angen i'r Bwrdd Gweithredol wneud penderfyniad OES
Angen i'r Cyngor wneud penderfyniad NAC OES

YR AELOD O'R BWRDD GWEITHREDOL SY'N GYFRIFOL AM Y PORTFFOLIO:-

Cyng. David Jenkins

Y Gyfarwyddiaeth:
Gwasanaethau Corfforaethol

Enw Cyfarwyddwr y
Gwasanaeth:
Chris Moore

Awdur yr Adroddiad:
Chris Moore

Swyddi:

Cyfarwyddwr y Gwasanaethau
Corfforaethol

Rhif ffôn: 01267 224886
Cyfeiriadau E-bost:

CMoore@sirgar.gov.uk

EXECUTIVE SUMMARY
Executive Board
21ST January 2019

COUNCIL'S REVENUE BUDGET MONITORING REPORT

The revenue budget monitoring reports for the period to 30th October 2018 are attached and indicate that:

COUNCIL FUND REVENUE ACCOUNT(Appendix A)

Overall, the monitoring report forecasts an end of year overspend of £1,255k on the Authority's net revenue budget with an overspend at departmental level of £2,760k. The most significant pressure points are within Education and Children's Services and the department needs to critically examine the current forecasted position.

Chief Executive's Department

The Chief Executive Department is anticipating an underspend of £133k for the year. This is made of up a £305k overspend relating to Corporate Savings and £438k underspend on operational budgets.

Corporate Savings (£305k): Efficiency proposals in relation to Health & Safety are ongoing.

Operational budgets (£438k underspend):

There is an anticipated £9k underspend in People Management.

Legal and Admin are anticipating a £152k underspend. £145k of this relates to vacant posts and £29k is due to a reduction in printing and supplies and services costs. These are offset by a £21k overspend as a result of a reduction in external income generated from other organisations due to increased internal workloads.

There is a £221k underspend anticipated in the Policy section. This is made up of a net £228k underspend on salaries due to vacant posts and realignments that are in progress as well as a reduction in CCTV costs following transfer of responsibility back to the police of £23k. This is offset by an overspend of £23k due to increased costs associated with running various tourism events.

There is a £51k underspend in Electoral Services as a result of a £26k contribution from Welsh Government towards individual electoral registration costs and less expenditure on storage fees within coroners of £19k.

Property is anticipating an £8k underspend.

Regeneration is anticipating a break even position at year end.

Department for Communities

The Department for Communities is forecasting an overspend of £889k for the year.

Services supporting Older People and Physical Disabilities are projecting an overspend of £497k: £769k relating to packages of care (£328k on residential care, £242k on Domiciliary Care and £199k on Direct Payments); staffing and miscellaneous running expenses are underspent by £220k and Day Services are underspent by £52k.

Services supporting Learning Disabilities, Mental Health and Safeguarding are forecasting an overspend of £430k: £218k for Group Homes / Supported Living, £69k for Mental Health residential placements, and £215k on Direct Payments partially offset by a underspend of £72k on miscellaneous expenditure.

Support Services are forecasting an underspend of £38k relating to miscellaneous supplies and services and staffing.

Leisure Services are predicting a nil variance.

Housing & Public Protection Services are predicting a nil variance.

Corporate Services

The Corporate Services Department is projecting a £446k underspend for the year.

This is due to £406k of vacant posts, a £10k reduction in our new bank contract costs and a £64k underspend in pre LGR pension costs. This is offset by one off software and data cleansing costs in risk management of £18k and a £17k under recovery of income on grant funded posts.

Department for Education and Children

The Department for Education and Children is forecasting a net overspend of £1,672k at year end.

The main adverse budget variations relate to: increased demand for Special Educational Needs provision £346k within County; Out of County educational placements £214k; school based EVR and redundancy costs £327k; Education Other Than At School £83k; School Modernisation property decommissioning costs £67k; School Meals service sickness cover and kitchens' maintenance £63k ; Music Service running costs (mainly staff) exceeding the SLA income from schools by £205k; Out of County residential care placements £179k; LHB not contributing fully to tripartite agreements for placements at Garreglwyd Residential Unit, which also has staffing pressures £122k and Education Welfare £54k.

Environment

The department is anticipating an overspend of £778k for the new financial year largely due to continuing pressures with Planning and car parking income as reported during the previous financial year.

The Waste and Environmental Services division is projecting a £154k overspend. There is a £40k anticipated overspend on green waste collection, as it is not yet self-financing, along with a £10k overspend on Wernddu closed Landfill site as a result of essential remedial works following a pump failure. The cleansing service is overspent by £80k, service reviews have commenced to look at ways to reduce costs within the service.

Highways and Transportation are anticipating a £366k overspend for the year. This is largely down to a projected £362k shortfall in car park income as a result of parking fees not increasing in line with increasing income targets.

Planning Division is anticipating a £244k overspend for the year.

There is a projected £326k shortfall in development management income but this overspend is offset by vacant posts in the year within the division.

The Property division is anticipating to be £4k underspent for the year.

The Business Support Division is expecting an £19k overspend due to various small overspends within the various cost centres.

HOUSING REVENUE ACCOUNT (Appendix B)

The HRA is predicting a £269k overspend to the year end.

Supervision and Management costs are forecasted to be overspent by £55k due to savings on staffing costs (£29k) and travelling expenses (£3k) offset by overspends in supplies and services (£53k) premises related expenditure (£34k mainly maintenance costs).

Capital financing charges will be £99k less than budgeted due to a slight reduction in interest rates.

There is a reduction in the provision required for debt write-offs, based on arrears levels and age debt analysis to year end of £219k

Rental income/service charges/other income is forecast to be £524k lower due to underachievement of rental income – void loss is currently forecast as 2.87% compared to a budget of 2.1%. The increased void loss is the result of a greater number of voids than predicted and increased time to turnaround revenue voids as a result of the increased number of major voids.

Income for commission from the collection of water rates will also be reduced by £97k due to implementation of new scheme which benefits eligible tenants. Settlement of insurance claims has resulted in receiving £89k of income.

Lists of the main variances are attached to this report.

DETAILED REPORT ATTACHED?

YES

IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report :

Signed: **Chris Moore**

Director of Corporate Services

Policy, Crime & Disorder and Equalities	Legal	Finance	ICT	Risk Management Issues	Staffing Implications	Physical Assets
NONE	NONE	YES	NONE	NONE	NONE	NONE

1. Finance

Council Fund

Overall, the Authority is forecasting an overspend of £1,255k.

HRA

The HRA is forecasting that it will be £269k over its approved budget.

CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below

Signed: **Chris Moore**

Director of Corporate Services

1. Scrutiny Committee – Not applicable
2. Local Member(s) – Not applicable
3. Community / Town Council – Not applicable
4. Relevant Partners – Not applicable
5. Staff Side Representatives and other Organisations – Not applicable

Section 100D Local Government Act, 1972 – Access to Information

List of Background Papers used in the preparation of this report:

THESE ARE DETAILED BELOW

Title of Document	File Ref No.	Locations that the papers are available for public inspection
2018/19 Budget		Corporate Services Department, County Hall, Carmarthen

Mae'r dudalen hon yn wag yn fwriadol

REPORT OF THE DIRECTOR OF CORPORATE SERVICES

Preliminary Executive Board 7th January 2019

COUNCIL'S BUDGET MONITORING REPORT 2018/19 as at 31st October 2018

Director and Designation	Author & Designation	Telephone No	Directorate
C Moore, Director of Corporate Services	R Hemingway, Head of Financial Services	01267 224886	Corporate Services

Table 1

Forecasted for year to 31st March 2019

Department	Working Budget				Forecasted				Oct 18	Aug 18
	Controllable Expenditure	Controllable Income	Net Non Controllable	Total Net	Controllable Expenditure	Controllable Income	Net Non Controllable	Total Net	Forecasted Variance for Year	Forecasted Variance for Year
	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000	£'000
Chief Executive	25,030	-8,905	-2,562	13,563	26,784	-10,791	-2,563	13,430	-133	246
Communities	143,117	-56,301	10,885	97,701	146,192	-58,494	10,891	98,590	889	794
Corporate Services	77,110	-50,947	-1,363	24,799	76,370	-50,654	-1,363	24,354	-446	-324
Education & Children	170,778	-31,772	23,780	162,786	181,639	-40,961	23,780	164,458	1,672	1,905
Environment	118,616	-75,282	9,296	52,629	122,832	-78,720	9,296	53,407	778	812
Departmental Expenditure	534,650	-223,206	40,035	351,479	553,818	-239,620	40,041	354,239	2,760	3,432
Capital Charges/Interest/Corporate				-15,425				-16,375	-950	-545
Levies and Contributions:										
Brecon Beacons National Park				142				142	0	0
Mid & West Wales Fire & Rescue Authority				9,582				9,582	0	0
Net Expenditure				345,778				347,589	1,810	2,887
Transfers to/from Departmental Reserves										
- Corporate Services				0				223	223	162
- Environment				0				-778	-778	-812
Net Budget				345,778				347,033	1,255	2,237

Chief Executive Department **Budget Monitoring as at 31st October 2018**

Preliminary Executive Board 7th January 2019

Division	Working Budget				Forecasted				Oct 18 Forecasted Variance for Year £'000	Aug 18 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000		
Chief Executive	-314	0	-308	-622	-8	0	-308	-316	305	290
People Management	4,204	-1,420	-2,565	219	4,829	-2,054	-2,565	209	-9	14
ICT	4,464	-792	-3,736	-65	4,660	-989	-3,736	-65	-0	-0
Admin and Law	4,101	-537	478	4,043	3,899	-487	478	3,890	-152	-54
Regen, Policy & Property										
Policy	4,953	-1,082	-3,021	849	4,693	-1,043	-3,021	628	-221	22
Statutory Services	1,250	-289	341	1,302	1,267	-357	341	1,251	-51	14
Property	1,141	-1,309	832	664	1,230	-1,406	832	656	-8	-63
Major Projects	151	-76	15	90	1,448	-1,369	15	94	4	0
Regeneration	5,080	-3,399	5,403	7,084	4,768	-3,086	5,402	7,084	-1	23
GRAND TOTAL	25,030	-8,905	-2,562	13,563	26,784	-10,791	-2,563	13,430	-133	246

Chief Executive Department - Budget Monitoring as at 31st October 2018

Main Variances

Preliminary Executive Board 7th January 2019

Division	Working Budget		Forecasted		Oct 18	Notes	Aug 18
	Expenditure	Income	Expenditure	Income	Forecasted Variance for Year		Forecasted Variance for Year
	£'000	£'000	£'000	£'000	£'000		£'000
Chief Executive							
Chief Executive-Chief Officer	267	0	245	0	-21		-6
Corporate Savings Target	-580	0	-253	0	327	Savings on supplies and services £285k Corporate Health & Safety efficiency proposal not yet implemented; £37k standby efficiency not materialised.	295
People Management							
Employee Well-being	826	-379	657	-259	-49	Additional external income	-44
HR Systems Development Team	123	0	169	-34	12	Posts regraded with no budgets	13
Assessment centre training	0	0	31	0	31	Unused Assessment Centre credits expired	31
Admin and Law							
Democratic	1,796	0	1,768	0	-29	Reduction in supplies and services and printing costs	22
Land Charges Administration	149	-288	106	-262	-17	Part year vacant post. Post has now been filled	-18
Corporate Serv-Democratic	494	0	366	0	-128	Part year vacant posts. 3 posts are still currently vacant	-75
Corporate Serv-Legal	1,598	-249	1,595	-225	21	Income target not achievable	17
Regeneration, Policy & Property							
Policy							
Welsh Language	176	-9	166	-10	-11	Post recently become vacant. Unlikely to be filled before the end of the financial year	-6
Marketing and Media	657	-359	527	-168	61	Unfunded posts being funded from underspends elsewhere and will be resolved as part of an ongoing restructure.	65
Translation	510	-32	447	-32	-63	Part year vacant posts. 3 posts currently still vacant	-14
Performance Management	545	-31	453	-11	-72	Vacant Performance and Information Manager post for whole of financial year.	-46
Chief Executive-Policy	504	-27	527	-32	18	Increased staffing cost pending realignment of budget	29
CCTV	35	0	12	0	-23	CCTV responsibility transferred back to the police	-9
Chief Executive departmental administration	461	-31	443	-2	12	Forecasted non-achievement of income target due to less opportunity to charge time to grant schemes.	25
Customer Services Centres	1,085	-328	994	-329	-91	Various part year vacant posts. 5 posts currently vacant.	-68
W Sir Gar	169	-129	108	-87	-18	Underspend mainly due to staff vacancies	-10

Chief Executive Department - Budget Monitoring as at 31st October 2018

Main Variances

preliminary Executive Board 7th January 2019

Division	Working Budget		Forecasted		Oct 18	Notes	Aug 18
	Expenditure	Income	Expenditure	Income	Forecasted Variance for Year		Forecasted Variance for Year
	£'000	£'000	£'000	£'000	£'000		£'000
Marketing Tourism Development	336	-2	327	-58	-64	Underspend mainly due to vacant posts - offsetting overspends within Visitor Information and Events below	-34
Visitor Information	74	-3	84	-1	12	Overspend due to additional staffing and advertising costs	4
Events	48	-28	113	-70	23	Overspend due to increased costs associated with running of various events - covered by underspend within Tourism Development	30
Statutory Services							
Registration Of Electors	159	-2	157	-27	-26	Contribution from WG for Individual Electoral Registration	-3
Coroners	393	0	374	0	-19	Storage Fees lower than normal.	-9
Property							
Commercial Property - Chief Executives	29	-516	49	-550	-15	High occupancy levels during year	-55
Other Variances					-2		111
Grand Total					-133		246

Department for Communities
Budget Monitoring as at 31st October 2018

Preliminary Executive Board 7th January 2019

Division	Working Budget				Forecasted				Oct 18 Forecasted Variance for Year £'000	Aug 18 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000		
Adult Services										
Older People	56,732	-22,758	2,780	36,754	57,146	-22,717	2,780	37,209	455	430
Physical Disabilities	6,946	-1,364	225	5,807	7,151	-1,528	225	5,848	41	31
Learning Disabilities	37,440	-10,204	1,308	28,545	38,399	-10,888	1,308	28,819	274	212
Mental Health	9,265	-3,368	230	6,127	9,883	-3,829	230	6,284	157	92
Support	6,023	-2,688	985	4,320	6,009	-2,719	992	4,282	-38	-22
Homes & Safer Communities										
Public Protection	3,081	-835	588	2,834	3,072	-825	588	2,835	0	-0
Council Fund Housing	9,216	-7,955	243	1,505	9,933	-8,672	243	1,504	-0	0
Leisure & Recreation										
Leisure & Recreation	14,413	-7,130	4,525	11,809	14,598	-7,315	4,525	11,808	-0	0
Corporate Standby Efficiency	0	0	0	0	0	0	0	0	0	51
GRAND TOTAL	143,117	-56,301	10,885	97,701	146,192	-58,494	10,891	98,590	889	794

Department for Communities - Budget Monitoring as at 31st October 2018

Main Variances

preliminary Executive Board 7th January 2019

Division	Working Budget		Forecasted		Oct 18	Notes	Aug 18
	Expenditure	Income	Expenditure	Income	Forecasted Variance for Year		Forecasted Variance for Year
	£'000	£'000	£'000	£'000	£'000		£'000
Adult Services							
Older People							
Older People - Commissioning	3,570	-625	3,415	-595	-125	Staff vacancies in care management teams: equivalent to one vacant social worker post in TTTs Community Resource Team and part year effect of 4 social workers who have commenced during 2018-2019	-87
Older People - LA Homes	7,349	-4,436	7,542	-4,489	140	Cost of agency staff due to workforce recruitment issues in parts of the county.	129
Older People - Private/ Vol Homes	21,579	-11,897	21,927	-11,943	303	Performance data shows demographic pressure on demand. Preventative work continues to be reviewed to mitigate the effects of this.	170
Older People - LA Home Care	6,008	-431	5,842	-426	-162	Significant departmental work to monitor and manage demand by continuing to promote independent living through Integrated Care Fund initiatives such as Releasing Time to Care resulting in lower demand eg for double handed care packages. Recruitment is underway for vacant posts.	-140
Older People - Direct Payments	1,156	-287	1,205	-287	49	Direct Payments increasing across client groups linked to promoting independence and cost avoidance.	79
Older People - Private Home Care	9,732	-2,164	10,236	-2,164	504	There has been a significant increase in demand for domiciliary care that has only been partly offset by a fall in residential care placements. There is significant departmental work to monitor and manage demand by audit assessment practice and by continuing to promote independent living through Integrated Care Fund initiatives such as Releasing Time to Care resulting in lower demand eg for double handed care packages. We are currently reviewing whether this initiative requires additional resources to yield the necessary financial savings.	410
Older People - Enablement	2,197	-586	1,947	-436	-100	Staff vacancies - recruitment issues being addressed.	-99
Older People - Day Services	1,125	-71	1,080	-77	-51	Outstanding unmet efficiency relating to in house Day services provision from 2016-2017 £202k offset by lower spend in remaining in-house provision and placements.	-17
Physical Disabilities							
Phys Dis - Commissioning & OT Services	578	-81	486	-83	-94	Vacancies within the Occupational Therapy Team - being addressed	-87
Phys Dis - Direct Payments	2,282	-555	2,431	-555	150	Direct Payments increasing across client groups linked to promoting independence and cost avoidance.	138

Department for Communities - Budget Monitoring as at 31st October 2018

Main Variances

Preliminary Executive Board 7th January 2019

Division	Working Budget		Forecasted		Oct 18	Notes	Aug 18
	Expenditure	Income	Expenditure	Income	Forecasted Variance for Year		Forecasted Variance for Year
	£'000	£'000	£'000	£'000	£'000		£'000
Learning Disabilities							
Learn Dis - Employment & Training	2,306	-888	2,341	-807	116	Overspend on staffing in Coleshill Day Centre due to complexity of clients £40k and shortfall of income £32k. Shortfall in income at SA31 £20k and Blas Myrddin £20k.	84
Learn Dis - Private/Vol Homes	9,759	-2,733	9,913	-3,037	-150	Costs reducing as various projects begin to take effect: work on alternative provision, deregistration, Positive Behaviour Service intervention for additional hours etc.	24
Learn Dis - Direct Payments	2,167	-526	2,482	-626	215	Direct Payments increasing across client groups linked to promoting independence and cost avoidance.	179
Learn Dis - Group Homes/Supported Living	8,604	-2,158	9,272	-2,608	218	Costs increasing as a result of successful deregistration of residential beds - promotes independence for clients and has lower costs overall across the spectrum to packages of care	118
Learn Dis - Adult Respite Care	976	-812	857	-812	-120	Vacant Manager post, several staff not in pension scheme.	-112
Mental Health							
M Health - Private/Vol Homes	6,105	-2,573	6,560	-2,959	69	Work continuing to promote independent living and reduce cost of care packages accordingly. Client group difficult to forecast costs as demand led at short notice; forecasts are based on existing levels of packages and work is ongoing to develop alternative provision options	106
M Health - Group Homes/Supported Living	876	-397	1,083	-484	120	Work continuing to promote independent living and reduce cost of care packages accordingly. Client group difficult to forecast costs as demand led at short notice; forecasts are based on existing levels of packages and work is ongoing to develop alternative provision options	21
Other Variances - Adult Services					-193		-177
Homes & Safer Communities							
Public Protection							
Air Pollution	121	-33	118	-18	13	Potential underachievement of income	12
Other Variances - Public Protection					-13		-12

Department for Communities - Budget Monitoring as at 31st October 2018

Main Variances

preliminary Executive Board 7th January 2019

Division	Working Budget		Forecasted		Oct 18	Notes	Aug 18
	Expenditure	Income	Expenditure	Income	Forecasted Variance for Year		Forecasted Variance for Year
	£'000	£'000	£'000	£'000	£'000		£'000
Council Fund Housing							
Home Improvement (Non HRA)	833	-288	741	-247	-51	New funding stream was introduced in 18/19 and working with partners and other council departments, the budget was allocated accordingly. Projects due to commence later in the year	-50
Landlord Incentive	12	-10	73	-12	58	We are anticipating WG funding to tackle homelessness, confirmation not yet finalised. Until the funding is agreed the overspend is to be covered by the underspends in Home Improvement team	58
Other Variances - Council Fund Housing					-7		-8
Leisure & Recreation							
Pembrey Ski Slope	357	-319	390	-336	15	Forecast overspend on Staff costs	-9
Sport & Leisure West	249	-21	226	-21	-23	In year staff vacancy	-38
Carmarthen Leisure Centre	1,283	-1,329	1,331	-1,329	48	Shortfall in income to budget £18k, additional cost of delivering in-house catering £17k, increased cost of Gas £13k	33
Sport & Leisure General	971	-56	969	-69	-16	Forecast saving against the training budget	11
Sport & Leisure South	166	-23	160	-0	16	Grant adjustments for previous financial years impacting on current year budget	-0
PEN RHOS 3G PITCH	31	-31	11	-34	-23	Part year saving of Premises and Supplies budget for this new Service in the Leisure portfolio	0
Carmarthen Museum, Abergwili.	161	-16	174	-18	11	One off relocation expenses +£6k increased NNDR +£5k	11
Museum of speed, Pendine	70	-24	55	-20	-11	Part year staff vacancy	-11
Museums General	173	0	190	0	17	Contribution to Documentation Assistant post £10k, temporary storage rental £7k	17
Leisure Management	290	0	302	0	12	Staff Training £12k	1
Other Variance - Leisure & Recreation					-47		-15
Corporate Standby Efficiency					0		51
Grand Total					890		794

Corporate Services Department
Budget Monitoring as at 31st October 2018

Preliminary Executive Board 7th January 2019

Division	Working Budget				Forecasted				Oct 18 Forecasted Variance for Year £'000	Aug 18 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000		
Financial Services	4,378	-1,984	-2,364	30	4,288	-1,987	-2,364	-63	-94	-101
Revenues & Financial Compliance	4,764	-1,670	-2,158	935	4,369	-1,550	-2,158	661	-274	-220
Other Services	67,967	-47,293	3,159	23,834	67,714	-47,118	3,159	23,756	-78	-4
GRAND TOTAL	77,110	-50,947	-1,363	24,799	76,370	-50,654	-1,363	24,354	-446	-324

Corporate Services Department - Budget Monitoring as at 31st October 2018

Main Variances

Preliminary Executive Board 7th January 2019

Division	Working Budget		Forecasted		Oct 18	Notes	Aug 18
	Expenditure	Income	Expenditure	Income	Forecasted Variance for Year		Forecasted Variance for Year
	£'000	£'000	£'000	£'000	£'000		£'000
Financial Services							
Accountancy	1,675	-369	1,696	-420	-30	Part year vacant posts	-30
Treasury and Pension Investment Section	246	-175	196	-175	-50	Part year vacant Posts	-35
Grants and Technical	186	0	269	-67	17	Under recovery of income on grant funded posts	0
Payments	501	-72	468	-72	-33	Part year vacant posts	-39
Revenues & Financial Compliance							
Procurement	518	-32	415	-32	-103	Part year vacant posts	-65
Audit	583	-18	555	-18	-28	Part year vacant posts	-32
Risk Management	136	-0	153	-0	18	Additional software costs, data cleansing and temp post funded from underspends in Procurement	30
Local Taxation	895	-728	867	-727	-28	Part year vacant posts	-31
Housing Benefits Admin	1,621	-750	1,442	-674	-103	Part year vacant posts	-83
Revenues	871	-141	797	-96	-30	Part year vacant posts	-39
Other Services							
Miscellaneous Services	4,743	-115	4,679	-115	-64	Reduction in Pre LGR Pension Costs	6
Other Variances					-10		-7
Grand Total					-446		-324

Department for Education & Children
Budget Monitoring as at 31st October 2018

Preliminary Executive Board 7th January 2019

Division	Working Budget				Forecasted				Oct 18 Forecasted Variance for Year £'000	Aug 18 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000		
Director & Strategic Management	1,181	0	-94	1,087	1,132	0	-94	1,038	-50	-28
Education Services Division	130,258	-15,475	18,112	132,895	131,364	-15,559	18,112	133,916	1,022	1,057
Access to Education	8,455	-5,745	2,167	4,877	8,718	-5,878	2,167	5,007	130	135
School Improvement	2,586	-623	463	2,426	10,260	-8,327	463	2,396	-30	30
Curriculum & Wellbeing	5,483	-4,176	590	1,897	5,938	-4,454	590	2,074	177	253
Children's Services	22,815	-5,752	2,541	19,604	24,228	-6,742	2,541	20,027	423	401
Corporate Standby Efficiency	0	0	0	0	0	0	0	0	0	57
GRAND TOTAL	170,778	-31,772	23,780	162,786	181,639	-40,961	23,780	164,458	1,672	1,905

Department for Education & Children - Budget Monitoring as at 31st October 2018

Main Variances

Preliminary Executive Board 7th January 2019

Division	Working Budget		Forecasted		Oct 18	Notes	Aug 18
	Expenditure	Income	Expenditure	Income	Forecasted Variance for Year		Forecasted Variance for Year
	£'000	£'000	£'000	£'000	£'000		£'000
Director & Strategic Management							
Director & Management Team	781	0	765	0	-17	Part year vacant post	2
Business Support	400	0	367	0	-33	Part year vacancy -£20k. Reduction in supplies and services - postages, photocopying recharges, admin and office equipment -£10k	-30
Education Services Division							
School Expenditure not currently delegated	225	0	225	17	18	Additional costs relating to previous years' Church Schools insurance premiums	18
School Redundancy & EVR	1,875	0	2,202	0	327	Budget utilised on existing commitments. Schools are supported and challenged on staffing structure proposals.	379
Special Educational Needs	3,190	-1,493	3,872	-1,597	579	Additional 5 classes to meet increased demand in specialist provision £346k. Full year impact of additional pupils commencing Out of County placements in 2017-18 and fewer pupils from other authorities in specialist provisions within Carmarthenshire, with these places being utilised by Carmarthenshire pupils £214k. Supply costs in SEN management to meet increased demand in inclusion cases £19k.	646
Education Other Than At School (EOTAS)	1,975	-217	2,044	-203	83	Additional maintenance costs at the Secondary Teach & Learn Centre £30k; Relocation and initial set up costs for the relocation of Canolfan y Gors to Pwll £47k. Fewer pupils attending Canolfan Bro Tywi due to staff illnesses resulting in reduced income £6k	18
Sensory Impairment	368	0	353	0	-16	Member of staff currently on maternity leave	-12
Educational Psychology	876	0	920	-12	31	Service demand currently requires staffing level in excess of budget	16
Access to Education							
School Modernisation	55	-5	186	-70	67	Transport recharges outside of transport policy for former pupils of closed schools £10k. Premises costs and historic utility costs re closed schools £57k	60
School Meals & Primary Free Breakfast Services	8,190	-5,740	8,321	-5,809	63	Budget pressures across the service especially sickness cover, kitchens' maintenance and food price increases. A strategic review of meal provision commenced in September 2018 to identify service efficiencies.	76
School Improvement							
School Effectiveness Support Services	300	-93	316	-90	20	Premises costs for Neuadd Y Gwendraeth	30
National Model for School Improvement	1,145	-58	1,542	-504	-50	2 part year vacant posts	-0

Department for Education & Children - Budget Monitoring as at 31st October 2018

Main Variances

Preliminary Executive Board 7th January 2019

Division	Working Budget		Forecasted		Oct 18 Forecasted Variance for Year £'000	Notes	Aug 18 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Expenditure £'000	Income £'000			
Curriculum and Wellbeing							
Music Services for Schools	965	-865	1,220	-915	205	SLA income from schools has reduced with greater expediency than the progress with staff restructuring. Service is currently appraising options to address the financial position. Partly offset by additional funding to be received from Welsh Government for music services £50k	229
Youth Offending & Prevention Service	1,594	-760	1,582	-774	-25	Part year vacant post	-0
Children's Services							
Commissioning and Social Work	6,706	-78	6,716	-104	-15	Part year vacant posts	-21
Fostering Services & Support	3,950	0	4,045	-56	39	Increase in boarding out allowances in line with Welsh Government guidelines. Forecast increase in residence orders and boarding out payments based on April - October 2018 activity	13
Out of County Placements (CS)	701	0	942	-62	179	More use of independent care agencies that are more expensive to use and an increase in the number of children on remand.	206
Garreglwyd Residential Unit	562	-163	677	-155	122	Additional staff costs incurred during the summer period due to staff shortage. Contribution from LHB for Tripartite funding is only at draft SLA level due to on-going lack of engagement from LHB. Additional tripartite staffing and premises costs for new placement are also not yet agreed.	93
Residential and Respite Units	925	0	899	0	-27	Part year vacancy and secondment	-6
Short Breaks and Direct Payments	531	-30	697	-149	47	Increased take up of Direct Payments, based on April - October 2018 . Also increased costs for after school and holiday club provision. This provision is currently under review.	65
Education Welfare	393	0	448	-1	54	Review of staffing structure to be progressed Autumn 2018. Any part year impact would reduce the forecast overspend.	64
Other Variances					19		4
Corporate Standby Efficiency					0		57
Grand Total					1,672		1,905

Environment Department

Budget Monitoring as at 31st October 2018

Preliminary Executive Board 7th January 2019

Division	Working Budget				Forecasted				Oct 18 Forecasted Variance for Year £'000	Aug 18 Forecasted Variance for Year £'000
	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000	Expenditure £'000	Income £'000	Net non- controllable £'000	Net £'000		
Buisness Support & Performance	45	-102	146	89	53	-92	146	108	19	18
Waste & Environmental Services	23,690	-4,407	1,384	20,666	23,981	-4,545	1,384	20,820	154	178
Highways & Transportation	50,994	-31,901	9,129	28,223	53,512	-34,053	9,129	28,588	366	223
Property	39,686	-36,415	-1,648	1,624	41,170	-37,902	-1,648	1,620	-4	-60
Planning	4,201	-2,457	284	2,027	4,116	-2,128	284	2,271	244	277
Corporate Standby Efficiency	0	0	0	0	0	0	0	0	0	177
GRAND TOTAL	118,616	-75,282	9,296	52,629	122,832	-78,720	9,296	53,407	778	812

Environment Department - Budget Monitoring as at 31st October 2018

Main Variances

Preliminary Executive Board 7th January 2019

Division	Working Budget		Forecasted		Oct 18	Notes	Aug 18
	Expenditure	Income	Expenditure	Income	Forecasted Variance for Year		Forecasted Variance for Year
	£'000	£'000	£'000	£'000	£'000		£'000
Waste & Environmental Services							
Cleansing Service	2,293	-83	2,373	-83	80	Service reviews have commenced to look at ways of reducing costs within the cleansing service	79
Green Waste Collection	86	0	283	-157	40	The green waste collection service is not yet self-financing	38
Closed Landfill Sites	230	0	239	0	10	IWEC pumps failed Dec17 which resulted in a leachate outbreak, NRW involved. Needed to overpump leachate from lagoons into raising mains - 2 sets of pumps used to lower level of lagoon so that CCTV investigation could commence and vavles fitted and blockages removed to reinstate IWEC pumps - To be completed Dec18	42
Highways & Transportation							
Car Parks	2,136	-3,577	1,894	-2,973	362	Unachievable income target as the income target is increased every year but parking fees have not been increased.	299
Nant y Ci Park & Ride	77	-32	96	-32	18	Provision for Winter Maintenance charges as per 17/18 costs	16
Bridge Maintenance	764	0	751	-5	-18	Variance due to 'Highways structures Inspector' post being vacant - should be filled by Oct18	-18
Street Works and Highway Adoptions	408	-350	446	-422	-34	Vacant posts - street works inspector (filled by Nov 18), Technician & licensing Officer (to be filled by Dec18)	-45
Highway Maintenance	12,176	-4,895	12,970	-5,644	45	Reallocation of corporate standby efficiency	0
Property							
Carbon Reduction Programme	277	0	242	0	-35	Reduction in Carbon Reduction Programme payments due to Energy Efficiency Initiatives	0
Property Maintenance Operational	23,438	-25,247	25,059	-26,780	88	Reallocation of corporate standby efficiency	0
Business Unit	707	-4	686	-6	-22	Vacant post	-29
Industrial Premises	481	-1,396	373	-1,316	-29	Based on very high occupancy levels which may be subject to variation	-29

Environment Department - Budget Monitoring as at 31st October 2018

Main Variances

Preliminary Executive Board 7th January 2019

Division	Working Budget		Forecasted		Oct 18	Notes	Aug 18
	Expenditure	Income	Expenditure	Income	Forecasted Variance for Year		Forecasted Variance for Year
	£'000	£'000	£'000	£'000	£'000		£'000
Planning							
Planning Admin Account	334	-9	442	-140	-23	Staff vacancies £6k, projected over-achievement of income £5k, various net underspends £12k	-17
Minerals	339	-205	298	-183	-20	Underspend mainly due to charging out of staff to projects as a 'direct cost'.	0
Development Management	1,477	-1,265	1,444	-907	326	Overspend due to ongoing shortfall in income which is driven by a small number of larger schemes which attract higher planning fees. This mirrors the trend across Wales and fees can't be increased as rates set nationally. England by comparison have increased their fees by 20%	326
Conservation	407	-31	356	-14	-35	Underspend mainly due to staff vacancies - post awaiting authorisation for recruitment in relation to delivery of Env Act Obligations.	-14
Other Variances					25		-14
Corporate Standby Efficiency					0		177
Grand Total					778		812

Housing Revenue Account - Budget Monitoring as at 31st October 2018

	Working Budget	Forecasted Actual	Aug 2018 Variance for Year	Notes	Jun 2018 Forecasted Variance for Year
£'000	£'000	£'000	£'000		£'000
Expenditure					
Expenditure					
Repairs & Maintenance					0
Responsive	1,760	1,743	-16		0
Minor Works	2,812	2,812	0		0
Voids	2,350	2,366	16		0
Servicing	1,611	1,600	-11	Anticipated expenditure based on profiled spend to date.	0
Drains & Sewers	128	137	9		0
Grounds	731	734	3		0
Unadopted Roads	102	102	0		
Supervision & Management					
Employee	4,349	4,321	-28	Underspend due to vacant posts part year	-29
Premises	1,411	1,443	32	Overspend in Rent £28k and Other £6k	34
Transport	64	61	-3		-3
				Overspend in Legal fees mainly relating to disrepairs £48k and other £2k. Under provision for start up Work choice system costs £43k, which are being covered by an underspend in Compensation payments -£40k	53
Supplies	877	930	53		0
Recharges	1,319	1,319	0		
Provision for Bad Debt	435	216	-219	Provision for bad debt adjustment based on current and former tenants analysis .	-305
Capital Financing Cost	14,205	14,106	-99	Forecast reduction in interest rate applicable offset by additional borrowing	-158
Central Support Charges	1,620	1,620	-0		-0
DRF	13,349	13,349	0		0
Total Expenditure	47,123	46,859	-264		-408

Housing Revenue Account - Budget Monitoring as at 31st October 2018

	Working Budget £'000	Forecasted Actual £'000	Aug 2018 Variance for Year £'000	Notes	Jun 2018 Forecasted Variance for Year £'000
Income					
Rents	-39,729	-39,258	471	Underachievement of rental income - Void loss prediction at budget setting 2.1%, while current forecast is 2.87% and reduction in rents due .	494
Service Charges	-750	-695	55	Underachievement of service charge income due to predicted void loss	60
Supporting People	-135	-135	0		0
Mortgage Interest	-3	-3	0		0
Interest on Cash Balances	-66	-66	0		0
Insurance	0	-89	-89	Settlement of insurance claims	0
Other Income	-598	-501	97	Underachievement of water rates commission due to a Government initiative to reduce the water bills for eligible tenants	91
Total Income	-41,280	-40,747	533		645
Net Expenditure	5,843	6,112	269		237

HRA Reserve	£'000
Balance b/f 1/4/18	20,114
Budgeted movement in year	-5,843
Variance for the year	-269
Balance c/f 31/3/19	14,002

Eitem Rhif 8

Y BWRDD GWEITHREDOL
21/01/19

DIWEDDARU RHAGLEN GYFALAF 2018-19

Y Pwrpas: I adrodd y amrywiant cyllidebol yn y rhaglen gyfalaf.

YR ARGYMHELLION / PENDERFYNIAU ALLWEDDOL SYDD EU HANGEN:

I dderbyn yr adroddiad diweddaraf ar y rhaglen gyfalaf.

Y RHESYMAU:

I ddarparu'r newyddion i'r Bwrdd Gweithredol ynglyn a sefyllfa gyllideb ddiweddaraf y rhaglen gyfalaf 2018/19, ar 31ain Hydref 2018.

Ymgynghorwyd â'r pwyllgor craffu perthnasol - Amherthnasol

Angen i'r Bwrdd Gweithredol wneud penderfyniad OES

Angen i'r Cyngor wneud penderfyniad NAC OES

YR AELOD O'R BWRDD GWEITHREDOL SY'N GYFRIFOL AM Y PORTFFOLIO: Cyng. David Jenkins

Y Gyfarwyddiaeth:

Gwasanaethau Corfforaethol

Enw Cyfarwyddwr y

Gwasanaethau Corfforaethol:

Chris Moore

Awdur yr Adroddiad:

Chris Moore

Swydd:

**Cyfarwyddwr Gwasanaethau
Corfforaethol**

Rhif ffôn: 01267 224120

Cyfeiriad E-bost:

CMoore@sirgar.gov.uk

EXECUTIVE SUMMARY

Executive Board

21ST January 2019

This report provides an update on the Capital programme spend against budget for 2018/19 as at the 31st October 2018.

Appendix A which is shown departmentally, shows a forecasted net spend of £52,244k compared with a working net budget of £53,878k giving a **£-1,634k** variance.

The net budget has been re-profiled by a further £7.887m from 2018/19 to future years to take account of updated spend profile information and the budget slippage from 2017/18 is also included within the attached figures.

Appendix B details the main variances within each department.

DETAILED REPORT ATTACHED?

YES

IMPLICATIONS

I confirm that other than those implications which have been agreed with the appropriate Directors / Heads of Service and are referred to in detail below, there are no other implications associated with this report :

Signed: C.Moore

Director of Corporate Services

Policy, Crime & Disorder and Equalities	Legal	Finance	ICT	Risk Management Issues	Staffing Implications	Physical Assets
NONE	NONE	YES	NONE	NONE	NONE	YES

Finance

The capital programme shows an in year variance of **-£1,634m**, which will be re-profiled across the future years of the capital programme.

Physical Assets

The capital programme will have an impact on the physical assets of the Authority.

CONSULTATIONS

I confirm that the appropriate consultations have taken in place and the outcomes are as detailed below

Signed: C. Moore

Director of Corporate Services

1. **Scrutiny Committee** Relevant Scrutiny Committees will be consulted.

2. **Local Member(s)** N/A

3. **Community / Town Council** N/A

4. **Relevant Partners** N/A

5. **Staff Side Representatives and other Organisations** N/A

Section 100D Local Government Act, 1972 – Access to Information

List of Background Papers used in the preparation of this report:

THERE ARE NONE

Title of Document	File Ref No.	Locations that the papers are available for public inspection
2018-19 Capital Programme		Corporate Services Dept., County Hall, Carmarthen

Capital Programme 2018/19						
Capital Budget Monitoring - Report for October 2018						
	Working Budget			Forecasted		
DEPARTMENT	Expenditure £'000	Income £'000	Net £'000	Expenditure £'000	Income £'000	Net £'000
COMMUNITIES						
- Public Housing	23,125	-6,190	16,935	21,612	-6,271	15,341
- Private Housing	2,180	-283	1,897	2,180	-283	1,897
- Social Care	444	0	444	458	-12	446
- Leisure	5,172	-170	5,002	5,136	-120	5,016
ENVIRONMENT	21,215	-4,395	16,820	21,246	-4,529	16,717
EDUCATION & CHILDREN	11,485	-3,513	7,972	11,571	-3,552	8,019
CHIEF EXECUTIVE	1,848	0	1,848	1,848	0	1,848
REGENERATION	4,988	-2,028	2,960	4,071	-1,111	2,960
TOTAL	70,457	-16,579	53,878	68,122	-15,878	52,244

Variance for Year £'000
-1,594
0
2
14
-103
47
0
0
-1,634

Mae'r dudalen hon yn wag yn fwiadol

Capital Programme 2018/19						
Capital Budget Monitoring - Report for October 2018 - Main Variances						
	Working Budget			Forecasted		
DEPARTMENT/SCHEMES	Expenditure £'000	Income £'000	Net £'000	Expenditure £'000	Income £'000	Net £'000
COMMUNITIES						
- Public Housing	23,125	-6,190	16,935	21,612	-6,271	15,341
Sheltered Housing - Remodelling	568	0	568	360	0	360
Voids To Achieve The CHS	2,518	0	2,518	3,268	0	3,268
Stock Condition Survey 2018/19 - County Wide	150	0	150	0	0	0
Managing Private Sector Homes (Social Lettings)	106	0	106	25	0	25
Empty Homes	152	0	152	0	0	0
Council New Build	2,000	0	2,000	355	0	355
Other Projects with Minor Variances	17,631	-6,190	11,441	17,604	-6,271	11,333
- Private Housing	2,180	-283	1,897	2,180	-283	1,897
- Social Care	444	0	444	458	-12	446
- Leisure	5,172	-170	5,002	5,136	-120	5,016
ENVIRONMENT	21,215	-4,395	16,820	21,246	-4,529	16,717
Murray Street Car Park, Llanelli	257	0	257	190	0	190
Other Projects with Minor Variances	20,958	-4,395	16,563	21,056	-4,529	16,527
EDUCATION & CHILDREN	11,485	-3,513	7,972	11,571	-3,552	8,019
CHIEF EXECUTIVE	1,848	0	1,848	1,848	0	1,848
REGENERATION	4,988	-2,028	2,960	4,071	-1,111	2,960
TOTAL	70,457	-16,579	53,878	68,122	-15,878	52,244

Variance for Year £'000	Comment
-1,594	
-208	Preliminary design work has been undertaken to upgrade existing sheltered schemes, with delivery planned for 2019/20.
750	Additional work being undertaken to bring voids with major works back into use.
-150	Method and capacity to capture and analyse data in process of being confirmed.
-81	Level of capital investment needed reduced as a result of successful use of alternative funding streams.
-152	Level of capital investment needed reduced as a result of successful use of alternative funding streams.
-1,645	Delay in starting on site for the Dylan and Garreglwyd council house developments.
-108	
0	No Major Variances.
2	No Major Variances.
14	No Major Variances.
-103	
-67	Expenditure profile being planned in accordance with whole of life care plan. Funding required for future year maintenance.
-36	
47	No Major Variances.
0	No Major Variances.
0	No Major Variances.
-1,634	

Mae'r dudalen hon yn wag yn fwiadol

Yn rhinwedd paragraff(s) 14 o Rhan 4 o Atodlen 12A% o ddeddf Llywodraeth Leol 1972 fel y'i diwygiwyd
Orchymyn Llywodraeth Leol (Mynediad at Wybodaeth) (Amrywio) (Cymru) 2007

Document is Restricted

Mae'r dudalen hon yn wag yn fwriadol